

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND THREE HUNDRED AND SEVENTY-SECOND MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, May 4, 2001

The Board of Trustees met at its regular monthly meeting on Friday, May 4, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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May 4, 2001 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on May 4, 2001, at 10:45 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut.

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### **ELECTION OF OFFICERS BOARD OF TRUSTEES**

Resolution No. 2001-120

WHEREAS pursuant to paragraph (B) of rule 3335-1-02 of the Administrative Code, the officers of the Board are to be elected at the May meeting to take office immediately following adjournment of the meeting (May 4, 2001) and shall hold their office through the May 2002 meeting:

NOW THEREFORE

BE IT RESOLVED, That the following slate of officers for 2001-2002 be elected:

David L. Brennan, Chairman

James F. Patterson, Vice Chairman

William J. Napier, Secretary

James L. Nichols, Treasurer

Upon motion of Mr. Skestos, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Patterson, Sofia, McFerson, and Ms. Longaberger.

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### **COMMITTEE APPOINTMENTS FOR 2001-2002**

Resolution No. 2001-121

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2001-2002 be approved as follows:

#### **Student & Educational Affairs Committee:**

Robert M. Duncan, Chair  
Tamala Longaberger, Vice Chair  
Daniel M. Slane  
Kevin R. Filiatraut  
New Student Trustee  
Student Advising Ad Hoc Committee:  
Tamala Longaberger, Chair  
Robert M. Duncan, Vice Chair  
New Student Trustee  
Employee Education Ad Hoc  
Committee:  
James F. Patterson, Chair  
Dimon McFerson, Vice Chair  
New Trustee

#### **Fiscal Affairs Committee:**

James F. Patterson, Chair  
Karen L. Hendricks, Vice Chair  
Dimon R. McFerson  
Zuheir Sofia  
New Trustee  
Planning Ad Hoc Committee:  
Karen Hendricks, Chair  
Dimon McFerson, Vice Chair  
Athletics Ad Hoc Committee:  
Daniel M. Slane, Chair  
Karen Hendricks, Vice Chair  
James F. Patterson

**COMMITTEE APPOINTMENTS FOR 2001-2002 (contd)**

**Investments Committee:**

Zuheir Sofia, Chair  
Karen Hendricks, Vice Chair  
Robert M. Duncan  
New Trustee  
John Gerlach, Jr., (Foundation Board)  
Ex Officio  
William Ingram III (Foundation Board)  
Ex Officio

**Agricultural Affairs Committee:**

James F. Patterson, Chair  
Fred L. Dailey, Vice Chair, Ex Officio  
Tamala Longaberger  
Dimon R. McFerson  
New Student Trustee

**Outreach & Engagement Committee:**

Tamala Longaberger, Chair  
Daniel M. Slane, Vice Chair  
Karen L. Hendricks  
Kevin R. Filiatraut  
Dan Heinlen, Ex Officio  
Distance Learning Ad Hoc Committee:  
Daniel M. Slane, Chair  
Tamala Longaberger, Vice Chair  
Zuheir Sofia  
Kevin R. Filiatraut  
Ted Celeste, Ex Officio

**Government Relations Committee:**

David L. Brennan, Chair  
James F. Patterson, Vice Chair  
Daniel M. Slane  
Robert M. Duncan  
New Trustee  
Michael F. Colley, Ex Officio  
William Blair, Ex Officio  
Stan Aronoff, Ex Officio

**Affiliated Entities Committee:**

Dimon R. McFerson, Chair  
Robert M. Duncan, Vice Chair  
Karen Hendricks  
Kevin R. Filiatraut

**Personnel Committee:**

David L. Brennan, Chair  
James F. Patterson, Vice Chair  
Zuheir Sofia

**Regional Campus Boards:**

Lima – New Trustee  
Mansfield - James F. Patterson  
Marion - Dimon R. McFerson  
Newark - Daniel M. Slane

**The Arthur G. James Cancer Hospital  
and Research Institute Board:**

Zuheir Sofia, Chair  
Daniel M. Slane

**University Hospitals Board:**

Zuheir Sofia  
Daniel M. Slane

**Research Foundation Board of Directors:**

Karen L. Hendricks

**Science and Technology Campus  
Board of Directors:**

Robert M. Duncan

**University Managed Health Care System,  
Inc., Board of Directors:**

Robert M. Duncan

**University Foundation Ex Officio**

**Class of Directors:**

James F. Patterson (1 year)  
Tamala Longaberger (2 years)  
Zuheir Sofia (3 years)

**Wexner Center Foundation Board:**

Leslie H. Wexner (2002)  
New Trustee

Upon motion of Mr. Skestos, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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**EXPRESSION OF APPRECIATION TO JACLYN M. NOWAKOWSKI**

Judge Duncan:

This morning the Board is bidding a fond farewell to our student trustee, Jaclyn Nowakowski, whose term on this Board is sadly coming to an end.

Jaclyn has been a remarkable trustee these past two years, and we have truly valued her tremendous insight on governance issues and on the student experience at the University. Jaclyn is a good listener and has always been willing to speak out on matters which concern her.

Her commitment to student involvement in community service has been a big part of her experience at the University, particularly the "Make a Difference Day" service project, which she co-chaired for two years. I think her activism in the University was highlighted by her incredible commitment to community service, both on our campus and a number of other campuses throughout the Big Ten and at the bowl game. It was always Jaclyn who was there to do whatever it took to make those projects successful.

She has been an excellent student. Her commitment to academics has led to her acceptance into the Chimes, Mirrors, and Sphinx honoraries.

And Jaclyn's commitment to this Board has been exceptional. She has spent countless hours attending Board business and when the Board activity demanded that she be there, she was there. We are grateful to her for this dedication to service to the University.

She will be graduating next month with her undergraduate degree in Psychology, with honors, and going on to become a SPARTAN! She is going to attend graduate school at Michigan State University and we're delighted that she is in that program.

Jaclyn, we know that wherever your goals will take you, you'll always be a Buckeye and always remain "firm in your friendship" for Ohio State. We will miss your intellectual input, we will miss your grace, style, and class, but more than anything else, we're going to miss your total friendship.

Please join me in congratulating Jaclyn as we present her with this plaque from the Board in honor of her outstanding service to this University.

Ms. Nowakowski:

The past two years have meant a tremendous amount to me. It's going to be hard to leave Ohio State for all the experiences I've had, especially the relationship that I've had with all of you sitting around this table.

This has been a once-in-a-lifetime opportunity. It's been a blessing and an honor for me to be able to have participated to such a great extent with the University, to have an impact on its future, to be able to see that we're moving in such a positive direction, and to have been able to influence that just a little bit.

I have not gotten here by myself. I owe a lot of thanks to all my friends, faculty, and staff who have been my support over the past four years. Without them – me calling or e-mailing them late at night with questions or problems, or just to celebrate success – I couldn't have done it. They're very important to me. Most important, I have to thank my parents because without them – literally and figuratively – I wouldn't be here. I owe a great deal to them and they should know that.

**EXPRESSION OF APPRECIATION TO JACLYN M. NOWAKOWSKI (contd)**

Ms. Nowakowski: (contd)

Most importantly, I thank all of you. I've spent special time with each one of you and going to Michigan State -- even though it's in Michigan -- you should know that I'll miss each and every one of you.

Thank you.

Mr. Skestos:

Thank you, Jaclyn, and we will truly miss you.

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**PRESIDENT'S REPORT**

President William E. Kirwan:

Mr. Chairman and members of the Board, I want to talk with you today, at the midpoint of our Spring Quarter, on three very different topics. First, I am extremely disappointed to report that for the past two weekends we have experienced more destructive behavior east of High Street -- behavior that far exceeds even a charitable definition of student revelry. Second, we continue to fight for the public resources we will need to adequately discharge our responsibilities and to achieve our goals as a University. Finally, and in sharp contrast to the first two items, we continue to generate a significant amount of very good news -- accomplishments about which we can all be extremely proud. I'll return to the good news in just a few moments.

As everyone here knows, we have a serious problem with highly disruptive and destructive parties in areas east of High Street involving as many as 2,000 students and non-students. Such events are occurring too frequently, the level of objectionable activity is too high, and the potential for even more serious damage to life and property is too serious to countenance or ignore. In short, this behavior is inappropriate and often illegal, and we are determined to bring this situation under control.

To this end, we are sharply escalating both enforcement and prevention activities. On the enforcement side, we are working closely with Mayor Coleman and public safety officials to accelerate legal steps and improve methods for identifying the more serious offenders. Posting video footage of riot scenes on the University Police website has definitely helped. A number of students have come forward to identify some of those involved. I want to assure the Board that any students identified as participating in disruptive acts will be brought before our judicial board.

With another weekend fast approaching, we have put students on notice that those who host large public parties will be charged with violation of Ohio State's *Code of Student Conduct* if their parties get out of hand, and they will be immediately suspended from school pending resolution of the charges. We will identify as many participants as we possibly can and discipline them, subject to the *Code of Student Conduct*. We will pursue these and every other means at our disposal to bring the situation under control.

We have contacted landlords in areas east of High Street, asking them to crack down on unruly tenants. The city is enforcing block party regulations, fire and

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

building codes, and alcohol control laws. We have met with beer distributors and liquor control officials about steps they can take to help improve the situation.

I want to thank the student leaders who are taking the initiative to urge their fellow students to cease this lawless and destructive behavior. We must never forget that the students involved in the disturbances represent a tiny fraction of our student body.

I also wanted to say a special word of thanks to Bill Hall who, with his colleagues in Student Affairs, has shown tremendous leadership in trying to address this problem. Bill has contacted area high schools and colleges, discouraging their students from visiting the campus on these occasions. Mayor Coleman, City Council President Habash, and I have written the parents of undergraduate students asking them to talk with their sons and daughters and urge them not to participate in these unruly and potentially very dangerous events. Ed Ray and I also sent an e-mail to all faculty, asking them to take a few minutes in their classes and talk to students about the threat these parties pose.

Earlier, we designed Smart Party initiatives that involve educational efforts as well as teams of Columbus and Ohio State police officers walking or biking through the neighborhoods and helping responsible hosts keep their parties under control. Sober Hosts kits were made available to those who agree to act responsibly. We have also provided alternative alcohol-free activities.

We hope that these steps will restore the necessary calm and that going forward, our students will be able to have a good time without jeopardizing the safety or property of others. However, I assure you that we will not rest until this situation is brought under control.

Let me turn to the state budget. Last month, I spoke at some length about the state budget for the next biennium and our efforts to enhance it. It is increasingly clear that with state revenues falling, Medicaid costs rising, and a Supreme Court order mandating increased funding for elementary and secondary education, the state will not make the kind of investment in higher education that the future of Ohio requires.

Just this week, the House of Representatives passed its version of the budget, which has now gone to the Senate for consideration. It was only through the hard work of many people here at Ohio State and other education leaders across the state, that the higher education budget includes even minimal increases in the State Share of Instruction and Access Challenge, with current year funding levels maintained for the Success Challenge and Research Challenge.

The budget is a great disappointment. My immediate concern is that we will not have the funds to provide competitive salaries for our talented faculty and staff, and to make the kind of investments needed to move us toward our goals for academic excellence.

My long-term concern is not just for Ohio State. If the State of Ohio does not make higher education a priority, and soon, and make the kind of investments in it that most competing states have in recent years, our economy will continue on its downward spiral to the bottom quartile of the nation's fifty states.

I find it incomprehensible that the state, which ranks 41<sup>st</sup> in state support for higher education but 21<sup>st</sup> in state support for K-12 education, is preparing to

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

invest billions more in K-12, while essentially ignoring higher education. Don't get me wrong – I am all for better funding of the K-12 sector. I just don't understand why, in the era of a knowledge economy, the state's investment in quality education should stop at the 12<sup>th</sup> grade.

The House budget does raise the cap on tuition for Ohio State from six to nine percent for fiscal year 2002, removing it entirely for all institutions in 2003. We hope to improve our position somewhat in the Senate version of the budget and, possibly, through the pursuit of a separate but related initiative.

With the situation still in flux, it's too early to identify the specific implications that this budget will have for our ongoing needs and our proposed new initiatives. An exception is our request for Board approval today on the transfer of Rainy Day Funds to cover temporarily \$5 million in state cuts for the current academic year. Through such measures as this, we are trying to do everything possible to protect our academic core.

Following my presentation, Provost Ed Ray and Chief Financial Officer Bill Shkurti will comment on the topic of compensation. I hope to have more to say on this subject at our meeting in early June.

As I said at the outset, the disappointment of recent off-campus activities and the reality of our budget situation sits in sharp contrast to the large number of extraordinary accomplishments by members of the University community. Let me start with several sensational faculty honors.

Two members of our history department have received Guggenheim Fellowships, sharing the receipt of this prestigious award with 180 other fellows nationwide. Chosen from over 2,700 applicants, Guggenheim Fellows are named on the basis of distinguished past achievement and exceptional promise.

Ohio State's pair of winners this year are: James Bartholomew, a specialist in modern Japanese history and chiefly interested in the history of science; and Geoffrey Parker, the Andreas Dorpalen Professor of History and an associate at the Mershon Center, specializes in early modern European military history. This is the second time in the past three years that the history department has had two Guggenheim prize winners – an unprecedented accomplishment.

I'm also pleased to report that Diane Birckbichler, chair of French and Italian and director of the Foreign Language Center, won the 2001 Ernest L. Boyer International Award for Excellence in Teaching, Learning, and Technology. This is a national award and she was the winner. She is a national leader in the areas of individualized foreign language study, teacher training, and assessment.

In addition, Jacques Herzog, the Herbert Baumer Distinguished Visiting Professor at the Knowlton School of Architecture, has been awarded the Pritzker Prize, architecture's equivalent of the Nobel Prize.

Coincidentally, Ohio State has three new fellows of the American Institute of Architects. They are: Rob Livesey, director of the Knowlton School; Frank Elmer, an alumnus and lecturer; and Jill Morelli, University architect.

Last month, I reported on the most recent graduate school rankings by *U.S. News and World Report*. I noted that, among others receiving high rankings, the

**PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

Fisher College of Business ranked 23<sup>rd</sup> overall among almost 350 institutions with MBA programs.

But that was then; this is now. On Monday, the *Wall Street Journal* published its first-ever corporate recruiter ranking of MBA programs from around the world. These rankings are even better.

In that *Wall Street Journal* tally, the Fisher College was ranked 14<sup>th</sup> overall. Fourteenth in the nation among public and private universities -- ahead of the Wharton School and Stanford University. Not only that, it ranks 6<sup>th</sup> among all public universities and ranked 3<sup>rd</sup> in terms of long-term return on the recruiter investment.

While I'm on the subject of the Fisher College of Business, I want to again thank Roger and Tina Blackwell for their support of the Fisher College. Support that, subject to your approval later this morning, will designate the "Roger D. Blackwell Inn at Fisher College."

We're also very proud of our College of Education. On a national test for future teachers, which is comparable to the bar exam for aspiring lawyers, Ohio State's passing scores were the third highest in the nation -- a really remarkable accomplishment. Ohio State has been a national leader in education for decades, and our students' success on their licensing exam shows we continue to graduate outstanding teachers.

Let me close with several successes in the field of athletics. We're very proud of our men's tennis team, which upset Illinois to win the Big Ten Championship this year. Incidentally, Coach Ty Tucker was a player on the last Ohio State team to win a conference championship in 1991. On another sports note, today's consent agenda includes approval to name the "Jesse Owens Memorial Stadium" in honor of one of the greatest athletes ever to wear the scarlet and gray.

This morning I want to pay special tribute to our men's gymnastic team, which won both the Big Ten and the national title -- the NCAA championship -- this past month. Senior Jamie Natalie won the all-around title for the second consecutive season; sophomore Raj Bhavsar finished second in the all-around and will join Jamie in representing the United States at international competitions in the coming months; and senior Daren Lynch was the national vault champion. We're very proud of the entire team.

We have with us today assistant coach Peter Landry, since head coach Miles Avery is on a recruiting trip to ensure that we continue to hold these two titles.

This is really an extraordinary accomplishment. It is a shame that we don't have a video to show, but the national championship came down to the last event of the evening. There were fractions of points separating the two teams. One of our team members would do something and score, and then Oklahoma would do something and score -- it was nip and tuck. At the final moment, the Buckeyes came through to win!

Coach Landry, we're so proud of you and the coaching staff, and these fabulous young men, so we want to pay tribute to you this morning. Coach, would you like to say a word?



May 4, 2001 meeting, Board of Trustees

### **PRESIDENT'S REPORT (contd)**

Coach Peter C. Landry:

Thank you for this recognition. Several athletes are in class right now and were not able to attend this morning, but I hope we can make it back again next year after the next NCAA Championship.

President Kirwan:

Coach, you mentioned team members being in class, would you please tell the grade point average of this team?

Coach Landry:

The GPA was approximately a 3.0, so these guys are doing it both in the classroom and on the floor.

Team member:

We also have two Big Ten Medal of Honor finalists.

President Kirwan:

Fabulous.

Coach Landry:

Also, Jamie Natalie just got accepted into med school here at OSU.

President Kirwan:

That's wonderful. Thank you very much.

Mr. Chairman and members of the Board, in spite of some problems, we still have a great deal to be thankful for. Through it all, and by the dint of extraordinary effort on the part of our very talented faculty, staff, and students, we continue to advance this marvelous University.

This concludes my report.

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### **REPORT ON COMPENSATION GUIDELINES**

Provost Edward J. Ray:

Before we turn to the particulars of our recommendation at this time and the implications, let me just make one comment. I know most of the Board members are familiar with the fact that we normally make a specific recommendation for your approval with respect to compensation at the May Board meeting. This is not a normal time. The President mentioned some of the reasons with respect to the state budget.

I'd like first to ask Bill Shkurti to talk about the unusual nature of the year and the circumstances that we find ourselves in. Bill --

## **REPORT ON COMPENSATION GUIDELINES (contd)**

Mr. William J. Shkurti:

Thank you, Ed. This is an unusual year. Our budget numbers are always subject to change, but this particular year they seem to be changing daily. This will be the first time we haven't recommended salary guidelines at the May Board meeting since 1994. There are a couple reasons for this. One is that we had a budget reduction by Executive Order very late in the year, the current fiscal year of 2001, as the President mentioned. This is the latest cut in at least the 30 years I'm familiar with state budgets. I think it reflects that the Governor was very concerned about the state-of-the-state budget, the state economy.

In addition, it's clear that the state funding increases for Fiscal Year 2002 are likely to be minimal -- the numbers are still subject to change. I notice the state budget director was expressing in the newspaper two days ago some concern about continued weakness in the state economy. So, as difficult as it may seem, the news could get worse at some point even after the budget is passed. Hopefully, it won't happen, but that's certainly a possibility.

Another element we have is that normally when we do the compensation guidelines, because they are a commitment, we like to have it based on some formal action by the legislature. Although the House has now moved the budget out of committee, normally, by this time, the whole House has voted on a budget and it's well along in the Senate. That hasn't happened yet.

This year we're also dealing with major spikes in the cost of health insurance for University faculty and staff. Also, our natural gas bills are up 89 percent. Nobody really knows where energy costs are going.

Let me share with you an example of the impact this has on the budget. One of the questions I get, and I'm sure you as Trustees get this as well is, "If the University is going to raise tuition nine percent, what does it matter what the State does? You're still getting all this money, why can't you do salary increases?"

I think what's important to remember is that of the nine percent increase we've requested from the state, the three percent that's above what the normal cap is committed to improving undergraduate education, not continuing current operations. That money is not available for day-to-day running of the institution. That still leaves a six percent increase.

One percent of that we need to offset the cost of tuition to student financial aid, so that tuition increases are not a barrier to our students. So that leaves about a five percent increase in tuition, which is still significant. For each percent of increase in undergraduate tuition, the University's general fund nets about \$1.3 million. So a five percent increase would generate \$6.5 million, which even for an institution of this size is a lot of money.

To give you a comparison of what \$6.5 million will buy, the increase in the University share for University faculty and staff health insurance for those employees that are on the general fund -- not those that are funded by Athletics or the Hospitals -- is \$6.6 million. In other words, the entire income that we generate from a five percent increase in undergraduate tuition is needed to pay for a 30 percent increase in health insurance costs of our faculty, staff, and students. In addition, faculty and staff will be paying a higher portion of their own premiums. So these are some of the issues we're struggling with as we try to put the budget together.

**REPORT ON COMPENSATION GUIDELINES (contd)**

Mr. Shkurti: (contd)

I think it's fair to say this is the most difficult budget picture since the early 1990s. Although we made it through that period, it was very difficult and it looks like we have a difficult biennium ahead of us.

At this point I will turn the microphone back over to Provost Ray, who will talk about the consequences of this uncertain budget picture in terms of our compensation strategy.

Provost Ray:

Thanks, Bill. As Bill indicated, we're not making compensation guideline recommendations to the Board today. We do expect to return at the time of the June Board meeting to make a specific request and ask for formal approval.

Among the consequences is a financial picture that Bill has painted for you. We've given considerable thought to what we believe we need to try to do at a minimum moving forward.

We hope that when we come back to you in June that, at the very least, we'll be able to propose an average increase of something on the order of \$375 for every faculty and staff member who is performing satisfactorily. Again, at a minimum that would offset the employee share of health and parking increases for most of our employees. We also hope to come back and make recommendations about improved financial support for our graduate students. We probably won't be able to do much more in the next fiscal year given the current environment that we're in, but we'll be back to the Board with specific recommendations in June.

More broadly, what are the implications of the situation that we're describing here? If we do come back with the kind of minimum dollar increase on average for people performing satisfactorily of the sort I just mentioned, that will certainly help the lowest paid employees the most; but, Ohio State University will fall further behind the market for both faculty and staff. A concern that the President mentioned in his remarks and it increases the retention challenge that we have for our very best people among our faculty and staff.

As you know, we're talking about a biennium budget. We expect that the difficulties that we face for Fiscal Year 2003 are not likely to be any better than the situation that we find ourselves looking at for the coming year, at least in terms of state support. Among other things, we intend to immediately begin to develop plans to consider ways to reallocate existing resources to position ourselves more favorably to work on the issue of compensation increases for Fiscal Year 2003.

Let me stop there and see if there are any questions.

Mr. Skestos:

Are there any questions of either Ed or Bill? If not, thank you very much.

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May 4, 2001 meeting, Board of Trustees

Mr. Skestos:

I would like to now express our appreciation to two of our student government leaders – Ryan Robinson and Ron Meyers. The Board of Trustees would like to express their appreciation to both of you for your tremendously committed service to Ohio State.

Ron has diligently served these past two years as president of the Council of Graduate Students and Ryan has come on board rather recently as president of the Undergraduate Student Government. It is with great pride that this Board congratulates you both on your accomplishments during your terms of office and your effective leadership.

Ron, we understand that you'll be continuing graduate work and hope to complete your Ph.D. degree in natural resources early this next year. You have given a tremendous amount of your time and service to the University, its students, and the community. I'm sure that we'll hear great things about you in the future.

Ryan is graduating later this year and is certainly a young man to whom this University owes a great debt. Ryan stepped into the leadership of USG following some difficult and trying times. He worked very hard with his constituents to bring respect and confidence back to USG.

We wish you both continued success and want to thank you for all of your hard work on behalf of the students here at Ohio State. Good luck to both of you.

### **EXPRESSIONS OF APPRECIATION**

Ronald B. Meyers

WHEREAS Ronald B. Meyers, a graduate student in Natural Resources, has vigorously served the last two years as President of the Council of Graduate Students; and

WHEREAS giving generously of his time, Ron has represented the diverse interests of the graduate students in a truly exemplary manner; and

WHEREAS Ron has worked tirelessly in order to bring matters and issues of special interest to graduate students to the attention of this Board; and

WHEREAS he has been an active and outstanding representative of the graduate students on many University committees, including the BuckEyes Watch Coordinating Committee, Senate Steering, Council on Research and Graduate Studies, Campus Partners, and the Council of Student Affairs; and

WHEREAS in his capacity as President of the Council of Graduate Students, Ron has carried out his duties with a high degree of integrity and professionalism, and has earned the respect and regard of the faculty, staff, and students with whom he has interacted:

NOW THEREFORE

BE IT RESOLVED, That this Board expresses its appreciation to Ronald B. Meyers for his outstanding contributions of leadership and service to The Ohio State University, and wishes to convey to him its best wishes for success in the future.

**EXPRESSIONS OF APPRECIATION (contd)**

Ryan M. Robinson

WHEREAS Ryan Robinson, a graduating senior majoring in History and Political Science, has enthusiastically served as the President of the Undergraduate Student Government; and

WHEREAS Ryan stepped into the office of USG president late in the year and has worked tirelessly and successfully to restore confidence in USG and its officers; and

WHEREAS he has given generously of his time and has represented the diverse interests of the undergraduate students in a truly exemplary manner; and

WHEREAS Ryan has been a strong advocate for students and has worked effectively to quickly gain an understanding of matters and concerns of special interest to undergraduate students and has brought them to the attention of this Board; and

WHEREAS in his capacity as President of the Undergraduate Student Government, Ryan has carried out his duties with a high degree of integrity and professionalism, and has earned the respect and regard of the faculty, staff, and students with whom he has interacted:

NOW THEREFORE

BE IT RESOLVED, That this Board expresses its appreciation to Ryan M. Robinson for his outstanding contributions of leadership and service to The Ohio State University, and wishes to convey to him its best wishes for success in the future.

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**CONSENT AGENDA**

President William E. Kirwan

We have 13 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

**ESTABLISHMENT OF THE OFFICE OF UNDERGRADUATE STUDIES AND  
THE OFFICE OF UNDERGRADUATE STUDENT ACADEMIC SERVICES**

Resolution No. 2001-122

Synopsis: The establishment of the Office of Undergraduate Studies and the merger of University College and the Colleges of Arts and Sciences Administration into a new unit called the Office of Undergraduate Student Academic Services (USAS), are proposed.

WHEREAS The Ohio State University has changed to competitive admissions and focused considerable resources on improving student services and establishing new programs for undergraduate students; and

WHEREAS in providing a seamless and effective organization for serving the needs of undergraduate students, the Office of Undergraduate Studies is hereby established under the direct supervision of the Vice Provost and Dean for Undergraduate Studies; and

WHEREAS this new office will oversee the operations of the University Honors and Scholars Center, the Office of Enrollment Services, and the Office of Undergraduate Student Academic Services, hereby established below; and

**ESTABLISHMENT OF THE OFFICE OF UNDERGRADUATE STUDIES AND  
THE OFFICE OF UNDERGRADUATE STUDENT ACADEMIC SERVICES (contd)**

WHEREAS University College was created by action of the Board of Trustees on May 12, 1966, effective July 1, 1966, to maintain open admissions with the mandate of the Ohio Board of Regents that it limit enrollment on the main campus to 40,000 students and with a concern to establish a two-year College that would serve as the lower-division educational program meeting the requirements of open admissions; and

WHEREAS University College administration and staff have served The Ohio State University and its students very well with complete integrity and utmost regard for the advising and the individual needs of its student; and

WHEREAS now that the University has changed to competitive admissions and colleges are progressing with directly enrolling their students, the Office of Undergraduate Student Academic Services (USAS) has been formed through a series of moves toward direct enrollment of freshmen in their degree-granting colleges, with the reorganization and merger of University College and the Colleges of the Arts and Sciences Administration:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the establishment of the Office of Undergraduate Studies, and that University College and the Colleges of Arts and Sciences Administration be merged into a new unit called the Office of Undergraduate Student Academic Services reporting to the Office of Undergraduate Studies, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees be hereby authorized and directed to incorporate the above changes in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

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**NAMING OF BUILDING**

Resolution No. 2001-123

Synopsis: The naming of the Inn at The Max M. Fisher College of Business, located at 2110 Tuttle Park Place, is proposed.

WHEREAS the Fisher College of Business at The Ohio State University is completing the construction of an executive residence facility as the final building of the new business campus; and

WHEREAS Roger D. Blackwell has had a long and distinguished career as a member of the marketing faculty of The Fisher College of Business, having taught undergraduate, graduate and executive education students at Ohio State for over thirty-five years; and

WHEREAS Professor Blackwell serves with distinction on numerous public and private corporate boards and is a highly-sought consultant and lecturer to national and international business groups; and

WHEREAS Dr. Blackwell has received the University's Distinguished Teaching Award and has written more than 20 books on marketing strategy and global marketing; and

WHEREAS Roger and Tina Blackwell have made a generous gift to support the Fisher College of Business; and

WHEREAS this naming has been strongly advocated for and endorsed by the dean of the Fisher College of Business and has been approved and recommended by the President's Planning Cabinet:

**NAMING OF BUILDING (contd)**

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the building located at 2110 Tuttle Park Place shall be named "Roger D. Blackwell Inn at Fisher College," effective immediately.

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**NAMING OF FACILITY**

Resolution No. 2001-124

Synopsis: The naming of the track and soccer stadium, located at 2450 Fred Taylor Drive, is proposed.

WHEREAS The Ohio State University is completing the construction of a new track and soccer stadium; and

WHEREAS Jesse Owens was reared in Cleveland, Ohio, and attended The Ohio State University, and during his collegiate track career, he brought national acclaim to the University through his records and remarkable accomplishments; and

WHEREAS Jesse Owens became a national hero by virtue of his record-breaking performance, winning four gold medals at the Olympic Games in Berlin, Germany, in 1936; and

WHEREAS Jesse Owens became an international hero and spokesperson for youth groups, community programs, and global issues; and

WHEREAS Jesse Owens is an Olympic champion; received the Medal of Freedom, the highest civilian honor which the United States can bestow; was presented with the Living Legend Award and, posthumously, with the Congressional Gold Medal; and

WHEREAS Andy Geiger, the director of Athletics, strongly endorses this naming and it has been approved and recommended by the President's Planning Cabinet:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the facility located at 2450 Fred Taylor Drive shall be named "Jesse Owens Memorial Stadium," effective immediately.

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**NAMING OF INTERNAL SPACES**

Resolution No. 2001-125

Synopsis: The naming of internal spaces within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Planning Cabinet, it has been proposed that the donors to these internal spaces be recognized for their generosity:

NOW THEREFORE

### **NAMING OF INTERNAL SPACES (contd)**

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as follows:

Project: Shisler Center for Education and Economic Development - ATI at Wooster

- Rubbermaid Home Products Courtyard (Courtyard)
- Seaman Corporation Group Room (Room 148)
- Wayne County National Bank Foyer (Foyer)
- Will•Burt, Dennis B. Donahue Group Room (Room 150)
- Continental Office Environments Group Room (Room 120)
- Wayne County National Bank, Trustee of Laura B. Frick Charitable Trust Seminar Room (Room 110)

Project: Mathematics Tower, 231 W. 18<sup>th</sup> Ave.

- N. Wayne Rhodus Alcove (East Alcove, Room 724)

Project: Fawcett Center, 2400 Olentangy River Road

- Novice G. Fawcett Library (Room 103)

Project: Veterinary Teaching Hospital, 601 Vernon Tharp Street

- Jane's Lobby (Patient Waiting Area, Room 1000). In honor of a well-loved dog and the loving care she received here in the hands of friends with a gift from her family, Don and Teckie Shackelford of Gahanna, Ohio.

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### **HONORARY DEGREES**

Resolution No. 2001-126

Synopsis: The awarding of four honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees the awarding of honorary degrees as listed below:

Paul E. Bierley	Doctor of Music
Thomas R. Cech	Doctor of Science
John L. Holland	Doctor of Social Science
Ray D. Owen	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

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**PERSONNEL ACTIONS**

Resolution No. 2001-127

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the April 6, 2001 meeting of the Board, including the following Appointments/ Reappointments, Change in Title, Appointment of Chairperson, Reappointment of Principal Administrative Official, Appointment of Principal Administrative Official Extension, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved April 12, 2001, by The Ohio State University Hospitals Board, be ratified.

Appointments

Name: JOHN C. BYRD  
Title: Director/Associate Professor (The D. Warren Brown Designated Professorship in Leukemia Research)  
Division: Hematology and Oncology  
Term: May 1, 2001 through June 30, 2006  
Present Position: Assistant Chief, Hematology-Oncology Service, Walter Reed Army Medical Center, Washington, DC, and Assistant Professor of Medicine, Uniformed Services University of Health Sciences, Bethesda, MD, and Visiting Assistant Professor of Oncology, Johns Hopkins University, Baltimore, MD

Name: JOHN A. COOLEY  
Title: Assistant Vice Provost and Assistant Dean  
Office: Undergraduate Studies/Academic Affairs  
Term: February 1, 2001 through June 30, 2005  
Present Position: Assistant Dean, Office of the Undergraduate Dean, Colleges of the Arts and Sciences

Name: PETER E. GEIER  
Title: Senior Associate Vice President for Health Sciences Business and Administration  
Office: Health Sciences  
Effective: April 16, 2001  
Previous Position: President and Chief Operating Officer, Huntington Bancshares, Inc.

Name: RICHARD W. HALL  
Title: Interim Dean  
College: Biological Sciences  
Term: September 1, 2001 through June 30, 2002  
Present Position: Associate Dean, College of Biological Sciences

Name: RAJENDRA SINGH  
Title: Professor (The Donald D. Glower Chair in Engineering)  
Department: Mechanical Engineering  
Term: July 1, 2001 through June 30, 2006

Concurrent  
Appointment

Name: MICHAEL J. HOGAN  
Title: Executive Dean of the Colleges of the Arts and Sciences  
Term: July 1, 2001 through June 30, 2004  
Present Position: Dean, College of Humanities

**PERSONNEL ACTIONS (contd)**

Reappointments

Name: E. CHRISTOPHER ELLISON  
Title: Professor (The Robert M. Zollinger Chair of Surgery)  
Department: Surgery  
Term: July 1, 2001 through June 30, 2005

Name: PAUL A. WEBER  
Title: Professor (The Irene D. Hirsch Chair in Ophthalmology)  
Department: Ophthalmology  
Term: July 1, 2001 through June 30, 2005

Change in Title

Name: MABEL G. FREEMAN  
Title: Assistant Vice President for Undergraduate Admissions and First Year Experience  
Office: Enrollment Services/Academic Affairs  
Effective: April 1, 2001  
Present Position: Assistant Vice President for First Year Experience and Interim Director of Undergraduate Admissions, Office of Enrollment Services/Academic Affairs

Appointment of Chair of Department

April 1, 2001 through March 31, 2002

Orthopaedics

Ray C. Wasielewski\*

\*Interim

Reappointment of Principal Administrative Official

SUSAN L. HUNTINGTON, Dean, Graduate School, effective July 1, 2001, through June 30, 2006, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Appointment of Principal Administrative Official Extension

ROBERT GOLD, Dean, College of Mathematical and Physical Sciences, effective July 1, 2002, through June 30, 2003, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Leaves of Absence Without Salary

FERNANDO UNZUETA, Associate Professor, Department of Spanish and Portuguese, effective October 1, 2001, through December 31, 2001, to accept a visiting professorship at Cornell University, Department of Romance Studies.

AMELIA S. CARR, Assistant Professor, Department of Management Sciences, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a visiting professorship at Georgia State University, School of Business.

**PERSONNEL ACTIONS (contd)**

Leave of Absence Without Salary—Continuation

JOHN W. ROBERTS, Department of English, effective March 1, 2001, through February 28, 2002, to continue his appointment as Deputy Chair of the National Endowment for the Humanities, Washington, DC.

Professional Improvement Leaves

DENNIS K. PEARL, Professor, Department of Statistics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

TIEN-HSIEN CHANG, Associate Professor, Department of Molecular Genetics, effective Winter Quarter, Spring Quarter and Autumn Quarter 2002.

DOUGLAS B. DOWNEY, Associate Professor, Department of Sociology, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Professional Improvement Leaves—Change in Dates

DAVID A. GOLDBERGER, Professor (The Isadore and Ida Topper Professorship in Law), College of Law, change leave from Spring Semester 2001 to Spring Semester 2002.

STEVEN CONN, Associate Professor, Department of History, change leave from Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

JO M. JONES, Associate Professor, Ohio State University Extension and Department of Human and Community Resource Development, change leave from Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to October 1, 2000 through April 24, 2001.

Emeritus Titles

LAWRENCE J. BERLINER, Department of Chemistry, with the title Professor Emeritus, effective May 1, 2001.

CAROL A. LYONS, School of Teaching and Learning, with the title Professor Emeritus, effective September 1, 2001.

ERNEST W. JOHNSON, Department of Physical Medicine and Rehabilitation, with the title Professor Emeritus, effective July 1, 2001.

ARTHUR W. LEISSA, Department of Mechanical Engineering, with the title Professor Emeritus, effective July 1, 2001.

DARYL L. SIEDENTOP, School of Physical Activity and Educational Services, with the title Professor Emeritus, effective July 1, 2001.

MARSHALL W. SWAIN, Department of Philosophy, with the title Professor Emeritus, effective July 1, 2001.

RODICA C. BOTOMAN, Department of Slavic and East European Languages and Literatures, with the title Associate Professor Emeritus, effective July 1, 2001.

GEORGE KALBOUSS, Department of Slavic and East European Languages and Literatures, with the title Associate Professor Emeritus, effective July 1, 2001.

**PERSONNEL ACTIONS (contd)**

**Emeritus Titles (contd)**

RICHARD L. DOBEC, Agricultural Technical Institute (Agricultural Technologies), with the title Assistant Professor Emeritus, effective July 1, 2001.

DOUGLAS H. GRAHAM, Department of Agricultural, Environmental, and Development Economics, with the title Assistant Professor Emeritus, effective July 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

March 2001

Julie A. Boss, D.O., Ophthalmology, PGY3 Limited, 04/12/2001 - 06/30/2001  
Angela C. Bucci, D.O., Gen Medicine, Community Affiliate Physician, 04/12/2001- 06/30/2001  
Stephen J. Burgun, M.D., Endocrinology, Attending, 04/12/2001 - 06/30/2001  
Miriam Emile, M.D., Hematology/Oncology, Attending, 04/12/2001 - 06/30/2001  
Magali J. Fernandez, M.D., Neurology, Attending, 04/12/2001 - 06/30/2001  
Alfred L. Gest, M.D., Neonatology, Attending, 04/12/2001 - 06/30/2001  
Michael R. Grever, M.D., Hematology/Oncology, Attending, 04/12/2001 - 06/30/2001  
Eric J. Hochman, M.D., Int Med/Pediatrics, PGY4 Limited, 02/01/2001 - 06/30/2001  
Stella B. Kontras, M.D., Child Psychiatry, Attending, 01/19/2001 - 06/30/2001  
Darryl Glen Koop, M.D., Preventive Medicine, PGY1 Limited, 04/12/2001 - 06/30/2001  
Alicia A. Moise, M.D., Neonatology, Attending, 04/12/2001 - 06/30/2002  
Matthew E. Newlin, M.D., Transplant, PGY3 Limited, 04/12/2001 - 06/30/2002  
Peter Novak, M.D., Neurology, Attending, 04/12/2001 - 06/30/2002  
Kenneth W. Saul, D.O., Family Medicine, Community Affiliate Physician, 01/10/2000 - 06/30/2002  
Wendy K. Stevens, M.D., Pediatrics/Emer Med, PGY4 Limited, 04/12/2001 - 06/30/2001  
Stephen E. Welty, M.D., Neonatology, Attending, 04/12/2001 - 06/30/2002

Request for Additional/Special Privileges (The Ohio State University Medical Center)

March 2001

Greg Christoforidis, M.D., Radiology, Transvascular Use of FDA Approved Liquid Embolic Agents in CNS, 03/22/2001 - 06/30/2002  
Matthew Dangel, M.D., Ophthalmology, Ophthalmic Yag Laser/Argon Laser/Excimer, 03/22/2001 - 06/30/2002  
Michael Gong, M.D., Urological Surgery, Holmium Laser/Argon Laser/CO2 Laser/Surgical Yag, 03/22/2001 - 06/30/2001  
Bivik Shah, M.D., Plastic Surgery, Conscious Sedation, 03/22/2001 - 06/30/2002

Request for Change in Medical Staff Category (The Ohio State University Medical Center)

Kathryn Clausen, M.D., Pathology, from Attending to Courtesy

Medical Staff Appointments (The Ohio State University Hospitals East)

March 2001

Peter Novak, M.D., Internal Medicine, Neurology, Active, 03/29/2001 - 06/30/2002  
Charles P. Pollak, M.D., Internal Medicine, Neurology, Active, 03/29/2001 - 06/30/2002

May 4, 2001 meeting, Board of Trustees

### **PERSONNEL ACTIONS (contd)**

#### Request for Additional Privileges (The Ohio State University Hospitals East)

##### March 2001

Marwan Bazerbashi, M.D., Surgery, Moderate Sedation, 04/30/2001 – 6/30/2002  
Hamdy Elsayad-Awad, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Michael Gong, M.D., Surgery, Holmium Laser/C02/Argon/Surgical Yag, 04/30/2001 – 6/30/2002  
Glenn Gravlee, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Bhagwandas Gupta, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Rebecca Gutmann, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Elizabeth Jongleaux, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
William Kelly, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
James Klein, M.D., Internal Medicine, Moderate Sedation, 04/30/2001 – 6/30/2002  
Alan Kover, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Lori Meyers, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Steve Severyn, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Mohammed R. Shareef, M.D., Internal Medicine, Change in privilege from Generic Internal Medicine to Internal Medicine, Division of Pulmonary, 04/30/2001 – 6/30/2002  
Joseph Swan, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Victor Terebuh, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
Katja Turner, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002  
David Yablok, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002

#### Request for Change in Medical Staff Category (The Ohio State University Hospitals East)

Joel G. Lucas, M.D., Pathology, From Consulting to Active  
Mohammed R. Shareef, M.D., Internal Medicine, From Courtesy to Active  
Nayyar F. Shareef, M.D., Family Medicine, From Active to Courtesy  
John C. Stephens, D.P.M., Orthopedics, From Consulting to Honorary

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### **REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2001-128

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for March 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of March 2001 be approved.

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**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 2001-129

Synopsis: The report on the receipt of gifts and the summary for March 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Warner Woodring Chair in History; and

WHEREAS this report includes the establishment of twenty (20) new named endowed funds and the amendment of four (4) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of March 2001 be approved.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT**

July through March  
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through March		
	<u>1999-00</u>	<u>2000-01</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$22,650,505	\$24,412,090	8
Alumni (From Bequests)	<u>8,622,758</u>	<u>5,702,103</u>	(34)
Alumni Total	\$31,273,263	\$30,114,193	(4)
Non-Alumni (Current Giving)	\$17,790,614	\$20,450,743	15
Non-Alumni (From Bequests)	<u>3,575,590</u>	<u>9,661,057</u>	170
Non-Alumni Total	\$21,285,204	\$30,111,800	41
Individual Total	\$52,558,467	\$60,225,993	15 <sup>A</sup>
Corporations/Corp/Foundations	\$27,841,244	\$30,317,908	9 <sup>B</sup>
Private Foundations	\$9,970,201	\$11,651,200	17 <sup>C</sup>
Associations and Other Organizations	<u>\$3,662,026</u>	<u>\$3,200,819</u>	(13) <sup>D</sup>
Total	\$94,031,938	\$105,395,920	12

NOTES

- A Individual giving is up 15% largely due to the fact that gifts of \$10,000 or more are up (406 gifts for \$37.0 million last year; 472 gifts for \$44.9 million this year).
- B Corporate giving is up 9%. Last year there were 527 corporate gifts providing private support of \$10,000 or more (\$21.5 million). This year private support at the \$10,000 level is \$24.2 million (525 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first three quarters of the fiscal year (\$10.9 million from 147 gifts this year; \$9.1 million from 150 gifts last year).
- D Gifts from associations and other organizations are down 13% since giving at the \$10,000 or more level is \$530,604 less than last year for the same period.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**TOTAL UNIVERSITY PRIVATE SUPPORT (contd)**

July-March  
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through March		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$17,930,529	\$19,842,982	11
Faculty Support	\$13,320,260	\$9,369,255	(30)
Program Support	\$41,973,054	\$57,567,704	37
Student Financial Aid	\$13,129,027	\$10,089,774	(23)
Annual Funds-Colleges/Departments	\$6,342,214	\$7,264,753	15
Annual Funds-University	<u>\$1,336,854</u>	<u>\$1,261,452</u>	(6)
Total	\$94,031,938	\$105,395,920	14

**GIFT ADDITIONS TO ENDOWMENT**

Dollars July through March		
<u>1999-00</u>	<u>2000-01</u>	<u>% Change</u>
\$35,364,275	\$28,050,663	(21)



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
Baseball Athletic Scholarship Fund (Provide scholarship costs of student athletes who are members of the varsity baseball team pursuing undergraduate degrees; provided by gifts from John J. Schiff, Sr.)		\$300,000.00	\$300,000.00
Baseball Support Fund (Support the varsity baseball team; provided by gifts from John J. Schiff, Sr.)		\$250,000.00	\$250,000.00
The Younkin Success Center Tutoring Services Endowed Fund (Offer free tutoring services for students, with preference given to students who have served in any branch of the United States Armed Forces; provided by gifts from the estate of Olga Sobbs)		\$118,454.80	\$118,454.80
The Lena Charles Bailey Breast Cancer Research Endowment Fund (Support breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from George Bailey)		\$115,000.00	\$115,000.00
The William Chaney Vance Athletic Scholarship Fund (Provide scholarship cost of a student who is pursuing an undergraduate degree; provided by gifts from the W. Chaney Vance Annuity Trust, William Gerald (Jerry) Vance, Lawrence (Larry) Gibson Vance, Barbara Jane Vance, and Linda Susan Vance)		\$25,545.92	\$25,545.92
The J. David Johnson Academic Excellence Award Fund in Molecular and Cellular Biochemistry (Support development of graduate and medical students in the fields of medical biochemistry and/or molecular and cellular biology; provided by gifts from Cynde L. Johnson, the Department of Molecular and Cellular Biochemistry and other family members, friends and colleagues)		\$25,075.00	\$25,075.00

Change in Name of Named Endowed Fund

From: The Archie Griffin Athletic Scholarship Fund

To: The Dr. Craig W. Anderson Family  
Football Scholarship Fund

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION**

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chair</u>			
The Warner Woodring Chair in History (Support a chair in history in the College of Humanities; provided by gifts from an anonymous donor)	\$1,500,000.00		\$1,500,000.00
<u>Establishment of Named Endowed Funds</u>			
The Robert H. Rainier Endowed Chair Fund in Industrial Veterinary Medicine and Research (Support for a chair in the College of Veterinary Medicine; provided by gifts from Robert H. and Nellie S. Rainier)	\$585,046.37		\$585,046.37
The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund (Support programs in dairy and food engineering; provided by gifts from Dale A. and Jean F. Seiberling)	\$150,000.00		\$150,000.00
COBA/Select Sires Dairy Cattle Research Fund (Provide monies for dairy cattle research or to support graduate students doing dairy cattle research within the department of Veterinary Preventative Medicine; provided by gifts from COBA/Select Sires)	\$50,000.00		\$50,000.00
The Williard A. Staker Memorial Scholarship Fund (Provide a scholarship in the College of Pharmacy; provided by gifts from Staker's Drugs, Inc.)	\$30,300.00		\$30,300.00
The Rita Downey Isaly Innovative Cancer Research Endowment Fund (Support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from Rita Wolfe)	\$30,005.00		\$30,005.00
The Twyford & Donahey Scholarship Fund (Fund a scholarship in the College of Law; provided by gifts from Thomas L. Twyford and Richard S. Donahey, Jr.)	\$30,000.00		\$30,000.00
The Jack and Frances "Babs" Fruth Scholarship Fund (Provide a scholarship in the College of Pharmacy; provided by gifts from Jack E. and Frances "Babs" Rhodes Fruth)	\$29,718.75		\$29,718.75
The Shumaker Loop & Kendrick Scholarship Fund (Fund a scholarship for a law student; provided by gifts from Shumaker Loop & Kendrick, LLP and partners)	\$29,500.00		\$29,500.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Marlo Sandow Wurster Memorial Scholarship Fund (Scholarships for senior nursing students and for graduate students in the College of Nursing; provided by gifts from Marlo Sandow Wurster's husband, children, family, and friends)		\$29,197.50	\$29,197.50
The Honorable John D. Judge Scholarship Fund (Fund a scholarship for a student in the College of Law; provided by gifts from Carol Judge)		\$26,500.00	\$26,500.00
The Ague/Haushalter Scholarship Fund (Provide a scholarship to a student in the College of Pharmacy; provided by gifts from Charles W. "Bill" and Elma Huashalter Ague)		\$25,700.63	\$25,700.63
The Arthur H. Dierker Scholarship Fund (Support a scholarship in the College of Engineering, Department of Material Sciences and Engineering; provided by gifts from Charles G. Mayer)		\$25,400.00	\$25,400.00
The Donny R. and G. Jeanne Baker Memorial Scholarship Fund (Provide scholarships to students who are graduates of Columbus City Schools; provided by gifts from Trent R. Baker and Todd L. Baker)		\$25,315.00	\$25,315.00
The Jeffrey A. Uhlenhake Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team; provided by gifts from Jeffrey A. Uhlenhake)		\$25,216.92	\$25,216.92
<u>Change in Description of Named Designated Chair</u>			
The Edgar C. Hendrickson Designated Chair in Biomedical Engineering			
<u>Change in Description of Named Endowed Funds</u>			
The George A. Jenkins Scholarship Fund			
The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund			
TOTAL		\$3,425,975.89	\$3,425,975.89

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

Establishment of Named Endowed Funds

**Baseball Athletic Scholarship Fund**

The Baseball Athletic Scholarship Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts from John J. Schiff, Sr. (B.S.Bus.Adm., 1938), Cincinnati, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are members of the varsity baseball team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donor.

\$300,000.00

**Baseball Support Fund**

The Baseball Support Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts from John J. Schiff, Sr. (B.S.Bus.Adm., 1938), Cincinnati, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the varsity baseball team at The Ohio State University. Expenditures are the responsibility of the head baseball coach in consultation with the director of Athletics.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donor.

\$250,000.00

**The Younkin Success Center Tutoring Services Endowed Fund**

The Younkin Success Center Tutoring Services Endowed Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with a gift from the estate of Olga Sobbs (B.F.A., cum laude, 1946).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Establishment of Named Endowed Funds (contd)

**The Younkin Success Center Tutoring Services Endowed Fund (contd)**

The annual income shall be used to offer free tutoring services for students at The Ohio State University with preference given to students who have served in any branch of the United States Armed Forces. The fund will be administered in the Younkin Success Center as part of the services offered to assist students to succeed while attending The Ohio State University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs in order to carry out the desire of the donor.

\$118,454.80

**The Lena Charles Bailey Breast Cancer Research Endowment Fund**

The Lena Charles Bailey Breast Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established May 4, 2001, by the Board of Trustees of The Ohio State University with a gift from George Bailey of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used exclusively to support breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

If funding reaches the level of \$250,000, the income shall be used for a one-year or two-year post-doctoral fellowship in the College of Medicine and Public Health to support research training and medical research in the field of breast cancer. Selection shall be made upon recommendations from the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$115,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

Establishment of Named Endowed Funds (contd)

**The William Chaney Vance Athletic Scholarship Fund**

The William Chaney Vance Athletic Scholarship Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts from the W. Chaney Vance Annuity Trust and children William Gerald (Jerry) Vance (B.S. Agriculture, 1966), Lawrence (Larry) Gibson Vance (B.S. Agr., 1966), Barbara Jane Vance (M.S., 1988), and Linda Susan Vance (B.S. Agr., 1971).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship cost of a student athlete who is pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,545.92

**The J. David Johnson Academic Excellence Award Fund  
in Molecular and Cellular Biochemistry**

The J. David Johnson Academic Excellence Award Fund in Molecular and Cellular Biochemistry was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts in memory of Dr. J. David Johnson (Professor, Department of Molecular and Cellular Biochemistry) from his wife, Cynde L. Johnson (M.H.A. 1988) of Sunbury, Ohio; from the Department of Molecular and Cellular Biochemistry; and from other family members, friends and colleagues of Dr. Johnson.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support development of graduate and medical students in recognition of academic excellence in the fields of medical biochemistry and/or molecular and cellular biology. Award selections shall be made by the chairperson of the Department of Molecular and Cellular Biochemistry in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of Molecular and Cellular Biochemistry and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,075.00

## **REPORT ON UNIVERSITY DEVELOPMENT (contd)**

### **THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

#### Change in Name of Named Endowed Fund

##### **The Dr. Craig W. Anderson Family Football Scholarship Fund**

The Archie Griffin Athletic Scholarship Fund was established July 9, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dr. Craig W. Anderson (M.D., 1976) of Columbus, Ohio, in honor of Dr. and Mrs. Homer Anderson (M.D., 1943) and Archie Griffin (B.S.Bus.Adm., 1976). The name was changed on May 4, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to help fund the scholarship costs for student athletes who hold a running back position on the men's varsity football team and who are pursuing undergraduate degrees at The Ohio State University. Selection of the recipients shall be made by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

### **THE OHIO STATE UNIVERSITY FOUNDATION**

#### Establishment of Named Endowed Chair

##### **The Warner Woodring Chair in History**

The Warner Woodring Chair in History in the College of Humanities was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to recruit and/or retain a distinguished scholar in one of the following areas: American history from the period of its colonial beginnings through the Civil War; British history; or the history of the Atlantic community, most notably comparative American and British history, with an emphasis on constitutional and legal history.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in order to carry out the desire of the donor.

Donor requests to remain anonymous and has seen and verbally approved this endowment description.

\$1,500,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds

**The Robert H. Rainier Endowed Chair Fund in Industrial Veterinary Medicine and Research**

The Robert H. Rainier Endowed Chair Fund in Industrial Veterinary Medicine and Research was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert H. Rainier (B.S. Agr., 1959, D.V.M., 1963) and Nellie S. Rainier.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will be used to provide a chair to be held by a nationally eminent faculty member at the College of Veterinary Medicine who has distinguished himself/herself in the performance of research, who maintains an active productive research program, and who is an effective educator-teacher. Selection shall be made as recommended by the dean of the College of Veterinary Medicine with the associate dean for research, as well as a representative from each department, as appointed by the department chair. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$585,046.37

**The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund**

The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dale A. Seiberling (B.S. Dairy Tech., 1950, M.S. Dairy Tech., 1951) and Jean F. Seiberling (B.S.Ed., 1949) of Fremont, Indiana.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs in dairy and food engineering at The Ohio State University. Uses may include: Graduate stipends named the "Jean F. Seiberling Scholarship;" support for distinguished visitors to the dairy and food engineering program; annual research in dairy and food engineering that advances the mission of the food engineering program; and support for special programs in dairy and food engineering as identified by students, faculty, staff, and dairy and food industry representatives.

The annual "Jean F. Seiberling Scholarship" is to be directed by the chairperson of the Department of Food Science and Technology, or their designee, in consultation with the University Committee on Student Financial Aid. The distinguished visitor, research, and special programs are to be directed by the "Dale A. Seiberling Dairy and Food Engineering Advisory Committee" in consultation with the chairperson of the Department of Food Science and Technology or their designee. Twenty to thirty percent of the annual income is to be directed toward dairy engineering issues.



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund (contd)**

The fund use will be re-evaluated by the chairperson of the Department of Food Science and Technology and the "Dale A. Seiberling Dairy and Food Engineering Program Advisory Committee" to direct the transfer of the corpus to create the "Dale A. Seiberling Dairy and Food Science Engineering Chair." The establishment of the "Dale A. Seiberling Dairy and Food Engineering Chair" is contingent upon obtaining the requisite principal for an endowed chair per OSU guidelines and upon approval of the Board of Trustees. Endowed chairs will serve five-year terms at the pleasure of the vice president for agricultural administration. This endowed chair must honor and advance dairy engineering as prescribed above. The endowed chair holder will meet not less than annually with the Seiberling Dairy and Food Engineering Advisory Committee to set overall goals and direction for this program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for agricultural administration, in consultation with the chairperson of the Department of Food Science and Technology, or their successor, in order to carry out the desire of the donors.

\$150,000.00

**COBA/Select Sires Dairy Cattle Research Fund**

The COBA/Select Sires Dairy Cattle Research Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from COBA/Select Sires, Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

This gift of support was provided at a time when the farmer-member-owners of COBA/Select Sires cooperative enjoyed an excellent, mutually beneficial relationship with Dr. Glen Hoffsis, dean of the College of Veterinary Medicine and Dr. Kent Hoblet, chairperson of the Department of Veterinary Preventive Medicine. The annual income shall be used to provide monies for dairy cattle research or to support graduate students doing dairy cattle research within the Department of Veterinary Preventive Medicine. Recipients will be selected by the dean of the College of Veterinary Medicine and the chairperson of the Department of Veterinary Preventive Medicine.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$50,000.00

**The Williard A. Staker Memorial Scholarship Fund**

The Williard A. Staker Memorial Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Staker's Drugs, Inc. of Portsmouth, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

The Williard A. Staker Memorial Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship to a student(s) enrolled in the College of Pharmacy. Preference will be given to the student(s) who have/has resided in Scioto County located in Southern Ohio and who also have an interest in community/retail pharmacy. The scholarship recipient(s) shall be determined at the discretion of the dean's designee, in consultation with the University Committee on Student Financial Aid, and with the approval of Staker's Drugs, Inc.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$30,300.00

The Rita Downey Isaly Innovative Cancer Research Endowment Fund

The Rita Downey Isaly Innovative Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ms. Rita Wolfe of Columbus, Ohio, in honor of her grandmother.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center, in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, with preference given to innovative research protocols in the human cancer genetics program. Any unused income shall be returned to the principle of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$30,005.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Twyford & Donahey Scholarship Fund**

The Twyford & Donahey Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Thomas L. Twyford (B.S.Bus.Adm., 1957; J.D., 1964) of Westerville, Ohio, and Richard S. Donahey, Jr. (B.C.E., 1965; J.D., 1967) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship with preference for a second- or third-year law student who is married, a resident of Ohio, and is not otherwise qualified for merit-based scholarship assistance. Selection shall be made by the College of Law in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the College of Law.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,000.00

**The Jack and Frances "Babs" Fruth Scholarship Fund**

The Jack and Frances "Babs" Fruth Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jack E. Fruth (B.S. Phar., 1951) and Frances "Babs" Rhodes Fruth (B.S.H.E., 1950) of Point Pleasant, West Virginia.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship to the student(s) interested in community pharmacy and in good standing within the College of Pharmacy. Preference will be given to the student(s) who have/has resided in either West Virginia or the following counties in Ohio – Washington, Athens, Meigs, Gallia, Jackson, Pike, or Lawrence. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$29,718.75

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Shumaker Loop & Kendrick Scholarship Fund**

The Shumaker Loop & Kendrick Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Shumaker Loop & Kendrick, LLP and partners, Toledo, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for a law student, preferably from Northwest Ohio. Recipients will be selected by the College of Law in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the College.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$29,500.00

**The Marlo Sandow Wurster Memorial Scholarship Fund**

The Marlo Sandow Wurster Memorial Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from her husband, children, family, and friends.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for senior nursing students and for graduate students in the College of Nursing who have demonstrated compassionate patient care, diligence, and academic improvement during their classroom and clinical training. Undergraduate recipients would be eligible to carry their scholarship award into graduate school at the College of Nursing. Scholarship recipients would be selected by the dean of the College upon recommendations of the appropriate faculty and administrative staff in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$29,197.50

**The Honorable John D. Judge Scholarship Fund**

The Honorable John D. Judge Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Carol Judge, Norton, Ohio.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Honorable John D. Judge Scholarship Fund (contd)**

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for a third-year law student, preferably male, who is ranked in the top half of their class, and demonstrates an interest in public service and contributes significant hours to public interest activities while at the College of Law. All applicants should write a brief essay of no more than 200 words that describes their qualifications for the award. Essays should include their future goals to continue in some capacity in public service. Recipients will be selected by the College of Law in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Law in order to carry out the desire of the donor.

\$26,500.00

**The Ague/Haushalter Scholarship Fund**

The Ague/Haushalter Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles W. "Bill" Ague, (B.S.Pharm., 1950) and Elma Haushalter Ague (B.A., 1949) of Girard, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship to a student in the College of Pharmacy. Preference will be given to the student(s) from underrepresented groups within the College in odd-numbered years. This scholarship will be presented to the student(s) exhibiting financial need and demonstrating good character. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

In even-numbered years, the award is to go to the College of Education where the annual income shall be used to provide financial support to students in the College of Education who are preparing to be mathematics or science teachers. Selection shall be based on financial need. First preference shall be given to students who have graduated from public schools in urban areas. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid. This portion of the Ague Scholarship has been funded in memory of William Hunter Ague (B.S.Ed., 1981; M.A., Mathematics, 1989) who taught mathematics in the public schools and had a deep commitment to young people in difficult urban settings before his untimely death in 1997.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,700.63

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Arthur H. Dierker Scholarship Fund**

The Arthur H. Dierker Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles G. Mayer (B.S.Ed., 1940; M.A. Education, 1947) from Tipp City, Ohio, and Louise A. Dierker (M.D., 1967). Mr. Mayer is a nephew of Mr. Dierker and Dr. Dierker is the daughter of Mr. Dierker.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship in the College of Engineering, Department of Material Sciences and Engineering. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid and given in the name of Arthur H. Dierker, a 1926 graduate of The Ohio State University with a bachelor's degree in metallurgical engineering. Mr. Dierker founded A. H. Dierker and Associates, an engineering consulting firm in Columbus, Ohio. He was an active member of the Triangle Engineering Fraternity all of his working life. Mr. Dierker also served his country in France during World War I.

Mr. Dierker also served as a staff research associate for the Engineering Experiment Station at The Ohio State University, was inducted into the "Legion of Honor" by the American Institute of Mining, Metallurgical and Petroleum Engineers and was awarded their "Laureate Citation" for 50 years of outstanding service to the profession in 1978.

Mr. Dierker's many volunteer activities upon his retirement include service with the International Executive Services Corps of Volunteer Executives. While assisting this organization, Mr. Dierker was requested to provide metallurgical consulting services for a major steel foundry with plants in Taiwan, Formosa, and Tokyo, Japan. He also provided metallurgical consulting services to Armco Steel in Middletown, Ohio, which enabled the installation of new oxygen smelting technology.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Material Sciences and Engineering in order to carry out the desire of the donor.

\$25,400.00

**The Donny R. and G. Jeanne Baker Memorial Scholarship Fund**

The Donny R. and G. Jeanne Baker Memorial Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Trent R. Baker (B.A., 1983) and Todd L. Baker (B.S.Bus.Adm., 1991), in honor of their parents, Donny R. Baker (B.S.Ed., 1963) and G. Jeanne Baker.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to students who are graduates of Columbus City Schools, have displayed leadership and integrity, and are active in community service. Preference is given to minority students from targeted low-income neighborhoods. The

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

**The Donny R. and G. Jeanne Baker Memorial Scholarship Fund (contd)**

scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the department chair, the dean, or appropriate University official in order to carry out the desire of the donors.

\$25,315.00

**The Jeffrey A. Uhlenhake Athletic Scholarship Fund**

The Jeffrey A. Uhlenhake Athletic Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Jeffrey A. Uhlenhake (B.S. Hosp. Mgt., 1990) of Newark, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing undergraduate degrees at The Ohio State University. Preference for the recipient of this award is a student-athlete playing on the offensive line. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,216.92

Change in Description of Named Designated Chair

**The Edgar C. Hendrickson Designated Chair in Biomedical Engineering**

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering was established March 2, 2001, by the Board of Trustees of The Ohio State University with a portion of the annual earnings generated by the Edgar C. Hendrickson Fund. Mr. Edgar C. Hendrickson was a graduate of the College of Engineering (B.Chem.E., 1923) who had a highly-accomplished career in the paper industry. He contributed most of his accumulated assets to The Ohio State University Foundation for the advancement of the College of Engineering. His generosity is recognized and deeply appreciated. The description was revised on May 4, 2001.

The allocated portion from the Hendrickson Fund's earnings shall be used by the dean of Engineering to support the research of an outstanding member of the Biomedical Engineering faculty. The dean of the College of Engineering will recommend the scholar. The recommendation will be endorsed by the executive vice president and provost and have the approval of the Board of Trustees.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Change in Description of Named Designated Chair (contd)

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering (contd)

The holder of the Edgar C. Hendrickson Designated Chair in Biomedical Engineering will be appointed for a term of five years. At the completion of each term, the dean of the College of Engineering may choose to reappoint the chair holder, to select another distinguished scholar, or to otherwise use the funds to enhance the College of Engineering. During the five-year term, the holder of the Edgar C. Hendrickson Designated Chair in Biomedical Engineering may be appointed to another named position at the University. On this occasion, the dean of the College of Engineering may choose to select another distinguished scholar, or to otherwise use the funds to enhance the College of Engineering.

Change in Description of Named Endowed Funds

The George A. Jenkins Scholarship Fund

The George A. Jenkins Scholarship Fund was established February 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George L. Jenkins, Esq., of Columbus, Ohio. The description was revised on May 4, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is a member of the men's football team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald M. Kurdziel (B.S.Bus.Adm., cum laude, 1976; M.B.A., 1977) and his wife Leesa Hall Kurdziel (B.S. Social Work, 1978). The gift was made in honor of the 50<sup>th</sup> wedding anniversary of Mr. Kurdziel's parents, Henry P. and Kathryn A. Kurdziel, December 27, 2000. The description was revised on May 4, 2001.

Henry P. and Kathryn A. Kurdziel, both graduates of Case Western Reserve University, have evidenced a life-long commitment to education, Mrs. Kurdziel as an elementary school teacher and Dr. Kurdziel as a secondary school science teacher, an elementary and secondary school principal, and later as a superintendent of schools.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide support for students in the College of Education who are preparing to be teachers. The recipients shall be individuals who are changing careers and who are preparing to become elementary teachers or secondary mathematics or science



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Change in Description of Named Endowed Funds (contd)

**The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund (contd)**

teachers. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donors.

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**WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 2001-130

JANUARY – MARCH 2001

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of January - March 2001; and

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 27 waivers of competitive bidding requirements for annual purchases totaling approximately \$2,688,500 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 25 waivers of competitive bidding requirements for annual purchases totaling approximately \$4,363,300 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of January - March 2000, is hereby accepted.

(See Appendix XXXVII for background information, page 939.)

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**AUTHORIZATION FOR ADDITIONAL INVESTMENT IN  
CAMPUS PARTNERS INITIATIVES**

Resolution No. 2001-131

Synopsis: Authorization for additional investment in Campus Partners initiatives, subject to separate Board authorization for release of such funds and development of investment/underwriting criteria for evaluating such investment requests, is proposed.

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for the revitalization of the High Street corridor and residential areas adjacent to the Columbus Campus and the importance in this effort of the initiatives of Campus Partners for Community Urban Redevelopment, Inc.; and

WHEREAS in May 1997 the Board of Trustees accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document"; and

WHEREAS over the last five years, the Board of Trustees has authorized the release of funds from the University Endowment and the issuance of bonds backed by the Endowment for capital investment in Campus Partners' revitalization initiatives and the release of other University funds to support Campus Partners' continued operations in carrying out these important activities, including authorization in July and August 2000 for continued funding; and

WHEREAS Campus Partners continues to make significant progress toward the implementation of its priority initiatives, including the University Gateway Center; High Street revitalization; the faculty and staff homeownership incentive program; improvements to public safety, refuse collection, code enforcement, and other public services; and the establishment of a special improvement district; and

WHEREAS during its first five years Campus Partners has demonstrated the capability to undertake critical, complex urban revitalization plans and programs that will significantly improve the safety and quality of the living and learning environment adjacent to the University's Columbus Campus, and the Campus Partners Board is preparing its future plans to further guide its investments and efforts over the next five years; and

WHEREAS the initiatives of Campus Partners represent a major focus of the University's commitment to outreach and engagement as contemplated in the University's academic plan, and will provide extraordinary qualitative enhancements to the living and learning environment in and around neighborhoods adjacent to the University; and

WHEREAS it is expected that the aggregate financial portfolio yield of the Campus Partners investments will provide an appropriate financial return to the University Endowment or to debt backed by the Endowment, or other sources, as appropriate, and, in order to achieve the desired financial returns on these investments, Campus Partners is considering a variety of forms of project participation, including joint ventures, subordinated debt, and equity participation, subject to compliance with approved underwriting criteria; and

WHEREAS the Board of Trustees desires to affirm the University's support for additional Campus Partners initiatives over the next five years:

NOW THEREFORE

BE IT RESOLVED, That, in addition to the Endowment and other University funds previously authorized by the Board of Trustees for investment in Campus Partners' first five years of revitalization activity, the Board of Trustees may, from time to time, authorize the release of additional investment resources toward strategic Campus Partners development initiatives, subject to the following conditions:

**AUTHORIZATION FOR ADDITIONAL INVESTMENT IN  
CAMPUS PARTNERS INITIATIVES (contd)**

1. Campus Partners, in consultation with the Campus Partners Oversight Committee, shall submit each request for the release of investment funds to the Board of Trustees for approval, in the same manner as has been done with previous requests; and
2. Campus Partners shall develop, jointly with the Oversight Committee, a comprehensive set of investment/underwriting criteria, including a formal review process, for the evaluation of the requested new investments to ensure that the investment risks and rewards are fully analyzed and understood, and that the aggregate portfolio yield of the University's investments in Campus Partners' initiatives provides an appropriate return to the Endowment or other funding sources.

BE IT FURTHER RESOLVED, That all such additional authorizations shall be subject to the provisions of the memorandum of understanding of May 1, 1997, and such additional terms and conditions as may be determined appropriate by the Oversight Committee or by this Board in authorizing the release of such funds.

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-132

HEART HOSPITAL – PARKING GARAGE  
UNIVERSITY HOSPITAL EAST – PHASE II  
1224 KINNEAR ROAD BALE PROGRAM  
PARKER FOOD SCIENCE – PILOT PLANT FACILITY  
FAWCETT CENTER EAST PARKING LOT

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Fawcett Center East Parking Lot projects and authorization to request construction bids for the Heart Hospital – Parking Garage, University Hospital East – Phase II, 1224 Kinnear Road – BALE Program, and Parker Food Science – Pilot Plant Facility is requested.

WHEREAS the University desires to construct an approximate 1,000 space parking garage with approximately 16,000 square feet of retail shell space to be constructed on the site of the existing parking lot on the east side of Perry Street and north of 9<sup>th</sup> Avenue to serve the Heart Hospital; and

WHEREAS the total estimated project cost is \$20,000,000 and the total estimated construction cost is \$16,000,000, with funding provided by bond proceeds with debt service paid by Transportation and Parking Services; and

WHEREAS University Hospitals East desires to expand two ambulatory operating suites, relocate the Physical Therapy department, relocate Endoscopy services, and construct a centralized waiting area and public elevator tower in the north building; and

WHEREAS the total estimated project cost is \$5,500,000 and the total estimated construction cost is \$4,600,000, with funding provided by University Hospitals East; and

WHEREAS the Ohio Supercomputer Center desires to remodel space in 1224 Kinnear Road to create a conference facility to disseminate information to current statewide users of the Blueprint for Advanced Learning Environment (BALE) Program; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the total estimated project cost is \$1,347,031 and the total estimated construction cost is \$795,334, with funding provided by the Board of Regents (\$1,250,000) and the Ohio Supercomputer Center (\$97,031); and

WHEREAS the University desires to renovate a portion of the shell space in the basement in the new Parker Food Science and Technology building to house a pulsed electrical field research and development pilot plant facility; and

WHEREAS the total estimated project cost is \$500,000 and the total estimated construction cost is \$432,000, with funding provided by the Board of Regents Action Fund (\$132,000), OARDC (\$80,000), Department of Defense (\$36,000), College of Food, Agricultural, and Environmental Sciences (\$200,000), and gifts (\$52,000); and

WHEREAS the University desires to construct an additional parking lot east of Fawcett Center; and

WHEREAS the total estimated project cost is \$650,000 and the total estimated construction cost is \$500,000, with funding provided by Transportation and Parking Services (\$400,000), Alumni Association (\$83,333.33), University Development (\$83,333.33), and Housing, Food Services, and Events Centers (\$83,333.33):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Fawcett Center East Parking Lot project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the University Hospitals East – Phase II, 1224 Kinnear Road – BALE Program, and Fawcett Center East Parking Lot projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That, subject in each case to the execution of a memorandum of understanding among the offices involved, the President and/or Vice President for Business and Finance be authorized to request construction bids for the Heart Hospital Parking Garage and the Parker Food Science – Pilot Plant Facility projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXVIII for maps, page 941.)

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS,  
EMPLOYMENT OF CONSTRUCTION MANGER, AND  
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-133

**MCCRACKEN POWER PLANT UPGRADE PROJECT**

Synopsis: Authorization to employ architect/engineering firm(s), employ a construction manager, and request construction bids for the McCracken Power Plant Upgrade Project, including final design and installation of new boilers within the McCracken Power Plant, upgrades of the electrical distribution system, and the construction of an addition to McCracken Power Plant.

WHEREAS the age and deteriorating condition of the four existing boilers within McCracken Power Plant warrant replacement to ensure reliable steam service for the campus; and

WHEREAS the technical analysis of the fault-interrupting capability of the electrical distribution system demonstrates that upgrades are necessary; and

WHEREAS the results of obtaining bids for electricity have demonstrated it is more economical for the University to purchase electricity from the most competitive supplier than to generate it on site; and

WHEREAS the result of the life cycle cost analysis demonstrates that the additional investment required by the alternative options does not result in significant savings over the life of the equipment; and

WHEREAS the total estimated project cost is \$73,000,000 and the total estimated construction cost is \$51,000,000, with funding provided by University bond proceeds, with debt service paid from central University Funds:

**NOW THEREFORE**

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for this project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for this project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for this project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXIX for background information, page 947.)

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**2000-2001 THIRD QUARTER BUDGET REPORT**

Resolution No. 2001-134

Synopsis: The revised Third Quarter Budget Report for fiscal year 2000-2001 is being submitted for adoption.

**2000-2001 THIRD QUARTER BUDGET REPORT (contd)**

WHEREAS the State of Ohio has cut funding to Higher Education in FY 2001 resulting in a \$3.2 million (1.0%) reduction in the Columbus Campus State Share of Instruction and a \$4.5 million overall reduction in all line items for all Campuses; and

WHEREAS the University's enrollments for all quarters are now known allowing for a more accurate picture of the University's student fee revenue; and

WHEREAS fuel costs (gas, coal & fuel oil) through the first three quarters were 89% higher than anticipated:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources and expenditure budgets be adjusted to reflect these changes as of the third quarter as described in the attached materials; and

BE IT FURTHER RESOLVED, That \$5.0 million (50%) of the University's Rainy Day Fund be used in FY 2001 to offset the \$3.2 million reduction in the Columbus Campus State Share of Instruction revenues along with a portion (\$1.8 million) of the utility cost increase; and

BE IT FURTHER RESOLVED, That the Rainy Day Fund be replenished from cash balances during FY 2002.

(See Appendix XL for background information, page 955.)

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Slane, McFerson, and Judge Duncan, Ms. Longaberger, and Ms. Hendricks.

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**PRESENTATION ON STUDENT ADVISING**

Provost Edward J. Ray:

The University recently announced the consolidation and expansion of advising services to create the Office of Undergraduate Student Academic Services, which will more effectively use our resources supporting undergraduate students. This step directly relates to two strategies outlined in the University's Academic Plan: 1) enhancing the quality of the teaching and learning environment; and 2) enhancing and better serving the student body.

We've known that effective academic and career advising is crucial to the successful matriculation and graduation of our students, and we expect up to 15,000 students will experience the benefits of this new structure each year. In addition to this organizational change, the University will also pursue more direct enrollment of entering students into their desired areas of study as early as possible. We believe that establishing an early link to faculty and advisors specializing in a given discipline is crucial to making students feel at home at Ohio State.

This new structure and philosophy will provide a better way to work with students according to their interests. For example, counselors specializing in English will have strong connections with faculty in the Department of English in the College of Humanities, as well as direct access to counselors within student support services to provide a seamless advising experience for our students.

**PRESENTATION ON STUDENT ADVISING (contd)**

Provost Edward J. Ray: (contd)

Today we have a panel that can speak to the success of such a model and the positive impact it has made on our undergraduate students. Please welcome Dean of Undergraduate Studies Martha Garland, who will introduce our other guests.

Dr. Martha M. Garland:

Thank you very much, Ed. I have several very interesting and exciting things that we want to report this morning. I'd like to begin by introducing to you some people who are key in the changes and ask you to join me in welcoming them to their new responsibilities after I've named them all.

First is Dr. Mabel Freeman, a stranger to no one here, who has been serving this year as the leader of our new First Year Experience Program and as interim director of Admissions. She's done a wonderful job with both. We are asking today that she be made the permanent director of Admissions, as well as to continue with the First Year Experience.

To her right is Dr. Jack Cooley, who is not a stranger to the University administration but may be to the members of the Board. Dr. Cooley is a graduate of our own Department of History and has been assistant dean in the Colleges of the Arts and Sciences, focusing on honors. He will be taking on a new role as my assistant vice provost for Undergraduate Studies and I'm very grateful to him.

I would also like to ask Joan Lorenz to stand. Joan is my administrative associate and because of increasing responsibilities in connection with all of this, she has assumed increasing responsibilities. She's absolutely wonderful, a fact which was testified to by her being awarded one of the 10 Distinguished Staff Awards from the University this last week. Please join me in thanking these people for all the work they are going to be doing.

Several years ago we did a study to see if we could tell what made the difference for students who graduated from the University in four years as opposed to those who took six years to graduate. It was really quite a detailed study and actually was a doctoral dissertation of a very strong young woman in the Ph.D. program in the College of Education.

A number of factors emerged that distinguished four-year graduates from six-year graduates. To our surprise, almost all of those factors turned out to be things that were absolutely within the control of the students themselves. There was very little that they identified that was different for the earlier graduates and the later ones that were things that the University was responsible for. Students identified things like: I changed my major; I wanted to protect my grade point average because I intended to go to professional school, so I took lighter loads; I dropped a course that I wasn't doing well in and took a lighter load; I needed to work to pay for school, so I took lighter loads; and so on. These are rational adult decisions that can delay graduation and they are decisions that students made on their own.

Both four-year and six-year students did complain about some things at the University, but they didn't complain differentially. So we concluded that those were not the things that were slowing them down. The one difference that really was statistically obvious was the students who graduated in six years said that

**PRESENTATION ON STUDENT ADVISING (contd)**

Dr. Garland: (contd)

they had had bad advising. The students who graduated in four years frequently attributed it to good advising.

We actually did some studies to look at students' transcripts to see if we could keep track of bad advising and good advising. We couldn't see that, but it certainly was the students' perception that advising was very important. If we didn't also believe it, we would at least have this evidence to tell us that academic advising is an absolute key to the success of our students, and the people who do that advising are very valuable members of our community.

By the time we start orientation this summer, we will have made a pretty dramatic change in the administrative organizational arrangement in the University. For more than 30 years, University College has existed as the main portal of entry for freshman to the University. Other institutions like us also have a portal of entry for freshman like that, but at the other places it's almost entirely limited to students who really don't know what they want to major in -- undecided or exploring students. At comparable universities the ratio between people who go into a unit like University College is 20 percent, with 80 percent of the students going directly into their own degree-granting colleges. With us for many years it has been the opposite, even 90-10. The default position was to enter University College.

We did a study in 1998 which indicated to us that direct admission to the college that you were going to study in identifies you with your field quickly, gets you closer to your faculty, and gets you advising which is more closely connected to your field. For many of our better and better students that we're attracting, University College was becoming an unnecessary buffer between them and getting on with the real business of their academic work. So we have been pushing for more and more direct enrollment, and the colleges are doing that more and more.

This winter term we simply took the resources that had previously been in University College and reorganized them and redistributed them, so that students and advisors -- and the salary for the advisors -- have moved out to the degree-granting colleges. The largest chunk of that move placed students in Arts and Sciences, because Arts and Sciences is where more than half of our students do ultimately graduate. But beyond that, we took a unit -- which in University College was always very strong and helpful for students who were trying to make up their minds about what they wanted to do; or undecided or re-deciding students who found that they couldn't do or weren't going to do what they had thought they wanted to in the first place -- and merged it with career advising. Choosing a major and choosing a career are often very close to one another, and those resources are being folded together to make a very strong unit.

This whole enterprise is going to be called the Undergraduate Student Academic Services office or USAS. This office will deal with and coordinate the other issues that are very important for freshmen. They will be coordinated and pulled together under the very able leadership of Dr. Freeman in the First Year Experience Program. So advising for the Arts and Sciences' students will be in USAS and undecided students seeking careers.

We will also connect more effectively with all the other support units on campus. For example, in University College there has been a very strong unit for a long time called MAP -- Minority Academic Program. The MAP staff, who are very good, have moved into the Office of Minority Affairs. We think they will



**PRESENTATION ON STUDENT ADVISING (contd)**

Dr. Garland: (contd)

strengthen Dr. Stewart's ability to support those students effectively, and they will continue to be very closely connected to the students' degree-granting colleges.

One of the things you hear -- I've never actually found a student for whom this is true -- students say is, "I've had nine advisors since I've been here." I really think the most anybody's ever had is eight advisors! From now on, in general, students will have two advisors with two different sets of responsibilities. This exact model is not true everywhere because the different colleges have arrangements that are appropriate to their disciplines.

The student will have a professional advisor whose job will be to do academic advising full-time. This person will be a generalist in their college who will help the student with their general education requirements, the regulations of the University, learning about what's the deadline for dropping a course, and so on. Perhaps almost as important as those details about academics, rules, information about referrals to other units connecting them to somebody in Financial Aid, referring them to the counseling center, and so on. The other advisor will be a member of the faculty in the field that the student will be going into.

We have with us today two students, each of whom will tell you a little bit about how he or she relates to the particular advisor who's represented here today, illustrating the two types of advising.

First I'm going to turn to Alan Knott, a sophomore in animal science interested in veterinary medicine, so he's in the pre-vet program. Alan is a very active student leader in the College of Food, Agricultural, and Environmental Sciences and is a very strong, dedicated student. He is going to tell us about how he interacts with his college or general advisor, Dr. Raymond Miller.

Alan, if you could tell us a little bit about your experiences.

Mr. Alan T. Knott:

Thank you for having me here and giving me the opportunity to talk to the Board of Trustees. As Dr. Garland mentioned, I'm very close to Dr. Miller. He is one of the primary people responsible for me being at Ohio State.

In my high school sophomore year, I received general literature from Ohio State and I responded that I was interested in attending. Dr. Miller and his staff from then on talked to me and sent me more information on what I needed to know about Ohio State, and if Ohio State was the right school for me.

My first experiences with Ohio State started when I came my junior year for Experience College for a Day. It was the general tour OSU gives all prospective students. In that tour I met with Dr. Miller, Dr. Jill Pfister, and also Thad Welch, the representative of Admissions through the agricultural college. Through them I was able to make my whole commitment to Ohio State concrete.

There was a time when I considered going to the OSU-Lima branch because it was 20 minutes from my house. But when I let Thad Welch know that, five minutes later Dr. Miller called me on the phone and talked to me for about 45 minutes about all the benefits and downfalls of both campuses.

**PRESENTATION ON STUDENT ADVISING (contd)**

Mr. Knott: (contd)

I really have gotten to know Dr. Miller over the past couple of years. He was the primary recruiter for me coming to OSU. Dr. Miller was also kind enough to give me the opportunity to be the student representative on the college's Academic Affairs Committee. And through him I've been able to get involved in and be associated with different organizations.

Also because OSU has one of the leading veterinary schools in the nation, through Dr. Miller, I was able to get involved with my advisor in the animal science department, Dr. David Zartman. I had accidentally met Dr. Zartman my junior year of high school because I was very active in 4-H and he came to one of my beef cattle shows. I didn't know at the time that he would be my academic advisor.

I've had a really good experience with my advisors. I feel that I am a lot better off and farther ahead in my planning for my education. I am trying to attain what the College of Veterinary Medicine considers unattainable, and that is that I am planning to apply to the College of Veterinary Medicine this fall, a year early. I have all my prerequisites out of the way, except one, which I'll finish in the fall, while keeping a reasonable GPA. I don't think that would have been possible if I wouldn't have been advised by Drs. Miller and Zartman, and the representatives of the College of Veterinary Medicine.

Dr. Garland:

Thank you very much. Ray, could you tell us how it feels from your end? How you see your job?

Dr. Raymond A. Miller:

Thank you very much for letting us be with you today. In the early 1950s, John T. Mount, who was a junior dean in the college at that time, formulated what we have today as a faculty advising system in the college. So we've been at it for a long time.

As Alan indicated, we try to build relationships with these students when they are sophomores, juniors, and seniors in high school. Then when the student goes through the Experience College for a Day Program and they come to the orientation program, we directly admit them to the College of Food, Agricultural, and Environmental Sciences at that time and get them assigned to a faculty advisor. So Dave Zartman was identified early on during the summer of Alan's orientation program to be his advisor.

What we in the college office try to do is to backstop that faculty advisor in the department. We help to provide them with the training, the knowledge, the experience, and so forth, in terms of all the procedures, the academic programs, and the GEC updates so the faculty member doesn't necessarily need to go out and dig all that information out themselves. It is a time-consuming process to advise students and there are a lot of changes in procedures and forms that occur. Dr. Jill Pfister, assistant dean for academic programs, and myself take it as our responsibility in the college office to primarily work with these advisors to keep them up-to-date on all the changes that are necessary to be good academic advisors to these students so that they can proceed through to graduation at a rapid rate. When we go to the orientation program, we do all of that necessary acclimation.

**PRESENTATION ON STUDENT ADVISING (contd)**

Dr. Miller: (contd)

We have one full-time academic professional advisor, Paul Heimberger, in our college. Paul is a graduate of the natural resources program and also received his master's degree there. His responsibility is to service the students if they have incidental questions, to service the faculty advisors if they have questions, and to work with students if the faculty advisor is not available at a particular time critical to registration and so on.

We have two assistant deans, Jill Pfister and myself, who work with students in terms of financial aid, academic programs, curricular areas, and so forth. We work with them in terms of honors programs and approval of honors curricula, along with the honors committee. And we have one placement director, Dr. Pat Whittington, in the college who works with all of our students relative to full-time employment and internship opportunities.

In the college our responsibilities are more of an administrative backstop to the actual faculty advising program that takes place within the department. As I indicated, this has been happening since the early 1950s.

We do provide training for all of our advisors through workshops. We just finished a round this quarter, going out to each of the academic departments during their faculty meetings. Jill Pfister and Paul Heimberger coordinated that effort to spend about an hour-and-a-half to two hours with the faculty, talking about advising changes that have occurred this year. We will have a new faculty advisors' workshop taking place two times Spring Quarter, so that faculty have a good feel and understanding of the importance of good advising.

From the time a new faculty recruit appears at Ohio State as an eligible candidate, emphasis is placed on advising as one of their major responsibilities at the undergraduate level. Everybody likes to work with graduate students, but we want to make sure that they feel as strongly about working with the undergraduate students.

I think the strength of our system in the College of Food, Agricultural, and Environmental Sciences speaks very well for itself. We've had direct enrollment into the college for the last 25 years. A short period of time after University College was started – for about three or four years students stayed in University College – Dean Mount worked to get students into our college through direct enrollment. We've had the opportunity to get 95 percent of our freshman students who enter Ohio State with an interest in agriculture directly enrolled and assigned a faculty advisor in their major area.

What that has led to is a 90 percent retention rate for our freshman students from the time they enroll until they finish the first year and they return to us as sophomore students. We have over a 70 percent graduation rate at the end of six years, which is about 10 points higher than the University average. We have an effective placement rate of better than 90 percent of our students going on to graduate school or full-time positions within three to six months following graduation. Again, that's part of the idea of working with the faculty and knowing what the student wants to do.

We encourage students to be actively involved in student organizations and leadership positions, not only in the college but across the campus. Many of them have been strong leaders in FFA, 4-H, and other youth programs in their schools and communities. We want to continue to emphasize that, and our faculty advisors have an excellent reputation for doing that sort of thing.

**PRESENTATION ON STUDENT ADVISING (contd)**

Dr. Miller: (contd)

We find that we have very few major shifts outside of the College of Food, Agricultural, and Environmental Sciences. We find that if a student makes a change in their major, they're going to move from crop sciences to horticulture or landscape; or they're going to move from agricultural education to agricultural business. We see very few students moving to other colleges around the campus, but we consistently have had more transfers into the college from other colleges on campus.

So our overriding goals have been to graduate students in a timely fashion with strong skills and abilities to contribute to their industry and society in general. We want to build strong friendships among all of our students – mainly between our students and faculty -- which last into the future. We want to build strong alumni ties and strong financial ties to the University in the future, and I think we've been very successful in those areas as well.

Dr. Garland:

Thank you very much. Next, I'd like to introduce Kristin Huchton, a senior chemistry major and member of our honors program. She is a very strong student who will talk with us about how she works very closely with her faculty advisor, Professor Terry Gustafson.

Ms. Kristin M. Huchton:

Thank you very much. My first interaction with Dr. Gustafson was not as my advisor, but as a professor for my honors general chemistry class, Winter Quarter of my freshman year. I went to his office numerous times and he was the first person to actually suggest to me that I become a chemistry major. Somehow I never quite got out of the department.

He has really helped shape the direction my life has taken and helped me find my first job in chemistry that following summer. When I came back the next fall as a chemistry major, he was officially my faculty advisor. I have spent numerous hours sitting in his office, having him help me figure out which classes will be useful for me to take, where I can get the most benefit out of the classes, and how they mix with other classes I'm taking.

He has also helped me figure out what to do after I get my bachelor's degree. I graduate this spring with my Bachelor of Science degree in chemistry and I had always assumed that I would go to graduate school in physical chemistry. That was the direction I'd been pointed in for several years. I have done undergraduate research, participated in a Denman Forum, and in September, I started to wonder if that was really what I wanted to do. I wasn't sure, but I knew that was the direction I was headed.

After several very long conversations, I decided to get my master's degree in education. I'll be certified to teach chemistry and physics, hopefully, in about a year. What made the biggest difference was Dr. Gustafson asking me, "What do you want to do? What excites you? What is your interest?" Not what are you expected to do, not where have you been headed for the last several years, not you've done so well in all this, why don't you go on? It was, "What do you want?" That has probably made the biggest difference in my decision is having that support – "Okay, you're an adult, what interests you and what do you care about?" Really having him take the time to get to know me.

**PRESENTATION ON STUDENT ADVISING (contd)**

Ms. Huchton: (contd)

I certainly consider Dr. Gustafson not only my advisor, but a friend. Having him take the time to be there and listen to me. Whether I send him an e-mail saying, "Hey, I've got a question, can I come down?" or just walking down the hallway and knocking on his door and him stopping whatever he's doing to talk to me. This has made the biggest difference in helping me get through my undergraduate career in chemistry, succeeding, deciding what I want to do after I have that degree, and where I want to go.

It was wonderful to have that interaction with someone who really knew what they were talking about, and knew what all the options were for me with a bachelor's degree in chemistry and a master's degree in education. He had that knowledge to be able to help point out and say, "Here are what options you have if you do this, here's what's going to be before you if you choose this path, what is it that you're interested in, and what is it that you want to do?" Just having that support and that unconditional knowledge that whatever I choose, he is going to be supportive of that, has made a huge difference in my life.

Dr. Garland:

Thank you. Dr. Gustafson, do you want to tell us how you see your job?

Dr. Terry L. Gustafson:

How do you follow that? I'm not sure if there is an official job description for a faculty member other than to pursue excellence in research, teaching, and service. Then the rule in advising, where does that actually fall? To be honest, as I was thinking about advising, particularly for this privilege of being able to speak before you today, is that it really transcends all of this. In other words, it is helping students identify areas where they can participate in research and helping students in mentoring them not only in skills related to chemistry, but often life skills.

The service aspect is that, yes there are some details that they need to have worked out with respect to which courses they take and other things. I think for those of us on the faculty, it's really important to recognize a few things. In general, I couldn't register for any courses if my life depended on it. I depend very much on those professional counselors available in the Arts and Sciences' college office who can answer the questions about which courses do they need to take for general electives. How do you actually go about doing some of this? What's the paperwork? Frankly, I really don't want to deal with that.

It is like an allocation of scarce resources. My time is pretty valuable in terms of trying to use it for research, teaching, and service. I would much rather spend the time with the students, especially talented students like Kristen, where I can ask them, "What do you want to do with your life? Where is your passion? What is it that is the driving and motivating force for your life? Where do you want to go and how can I as a faculty member help you go in that direction?"

In terms of the paperwork and the forms, I send my students to other people. I do that not because I don't want to spend time with them, but because I want to spend the quality time that I can offer them. Helping them with their questions like, "How do I get an internship? How do I get involved in research? Who do I have to see? Who do I have to talk to, to become the professional that I want to become?"

**PRESENTATION ON STUDENT ADVISING (contd)**

Dr. Gustafson: (contd)

The motivation behind what we as faculty wish to pursue when it comes to advising is really providing the general direction to allow the students to find their passion. Fortunately in some cases I can proselytize a little bit and convert microbiology majors to chemistry majors, but I've also helped students go the other direction, as sad as that may be. If they find out that chemistry is really not where they want to go, to help them get connected with the right people, to find the directions that will allow them to do the things that they really want to do with their lives.

So when students come to see me the first questions I ask after I get to know them are, "What would you like to be doing in ten years? If you've seen the ideal job, what kind of job would you like to have?" It's always fun and like a puzzle to try to figure out what course work a student needs. What kind of experiences can I help direct these students to so that they can fulfill those dreams that they have? Students often change majors and that is also part of the fun.

One of the other advantages that I have in teaching first-year chemistry courses is seeing the direction students pursuing science degrees ultimately go. Just last quarter I helped a student change from a chemistry major to an English and political science major. As faculty, sometimes just knowing other people we can help students connect with other areas. So I was able to harass Dan Farrell directly and get this student connected with the Glenn Institute, even though they started out in chemistry. It is things like that where I think specifically the faculty really have the opportunity to do that.

There are certainly the professional counselors that can help in that and can assist in certain cases. I'll be honest, I have all the phone numbers of the people I need to contact and I can quickly call them to find out the answers I need for some things. But I think the privilege that we have is really helping them find the career paths that will allow them to really fulfill the dreams they have.

Dr. Garland:

We believe that we're beginning to get it right about advising. That this double system -- and it's more double in some places and less in other places as you can tell from this presentation this morning -- provides students with we hope two, not eight or nine, advisors who have different skills, different sets of information, and different focuses that they can bring to bear to help the students.

Often the importance of the relationship depends on the personal match. Sometimes your professional advisor is who becomes terribly important to you just because of the fondness you've developed for one another, and often your faculty advisor is critically important to you.

I'm very grateful for these folks to be willing to come this morning and tell us about how it feels to them. We'd welcome any questions from any of you.

Mr. Skestos:

Martha, I'd like to address a point. I just find it remarkable that since 1950 our agricultural college has been doing this, and with these current successes that have happened, and how slow we are with the rest of the colleges to get on board and follow that kind of a system.

**PRESENTATION ON STUDENT ADVISING (contd)**

Mr. Skestos: (contd)

I know when I entered college some 57 years ago, I was this little boy from a dinky town who had come to a big university. I was assigned a faculty advisor and I stayed with that faculty advisor my four years of undergraduate work. My advisor became not only my friend, but my counselor. He understood my problems and I felt I could go to him anytime. It was his guidance that propelled me through my undergraduate work, graduate school, etc.

My question to you is, are we doing this with all students not just honor students? Because, a lot of kids who come to this University are confused, they don't know what to do, and many times they don't have a friend. I know in my case, my professor, Calo Jones, became my friend and I looked to him for advice. My parents had never gone to college, but he had and he was there. He really gave me a lot of solid advice. I hope that it is more than just talented or honor students that we're searching out and putting with our faculty advisors.

Dr. Garland:

It absolutely is, Mr. Skestos. All of the students are being assigned to a faculty advisor and professional advisor. The distribution of responsibility does vary from college to college – different colleges do it differently.

I think the point that Professor Gustafson made is correct and that is professional counselors need to know a lot of detail about the core curriculum. I've always thought that those professional counselor jobs, particularly in the sort of general area fields like in the liberal arts, are a combination of psychological counselor and tax accountant. They also are a very important focus point to get you to the other kinds of help that the University provides. Help if you're in trouble and help if you're aspiring to something higher than you had thought about before. So it's a referral point and I think the professional advisors are wonderful.

The faculty advisors are extremely valuable and are enthusiastically helpful to students. It does differ from college to college and department to department, because units make arrangements that seem most appropriate to them. But we are moving now to a system of advising which we think will be very rich and supportive for all of our students.

Mr. Skestos:

I think that's really wonderful. Yes, David?

Mr. Brennan:

Martha, I'm not sure exactly what you explained earlier about University College. Are you saying that there will be this advising system for freshman starting next year or not?

Dr. Garland:

Students will not enter University College this summer. If they know what they want to major in, they will enter their degree-granting college. It depends on what the degree-granting college is, but in most places, they will have immediately a professional advisor in that college, who has the overview of that college, and a faculty advisor.

**PRESENTATION ON STUDENT ADVISING (contd)**

Mr. Brennan:

Back to my question about those who don't know what they want to do --

Dr. Garland:

For the ones who don't know what they want to do, there will be a unit under this new organization that is reporting to me called USAS. USAS will be made up of the resources that were previously in University College called GBC -- General Baccalaureate Curriculum -- for exploring and also alternatives -- which was when students found they weren't going to do what they thought originally -- and the Career Office resources in Arts and Sciences. Together that is a considerable set of resources -- good people. That program we're calling Exploration and that's where they'll go. We want them to explore more quickly than they have been exploring in the past.

Everybody understands that students change their minds and you do worry about pushing students too quickly to their major. So we need -- and that is one of the reasons why this new office is in Academic Affairs, it is in the center of the University -- to be objective in being able to help people find the place that they need to get to.

Mr. Brennan:

My quandary is that the comments that we've heard in the past have been that entering freshman are assigned nobody. That's going to change in every college?

Dr. Garland:

Absolutely.

Mr. Brennan:

Good.

Dr. Garland:

They probably will be assigned two people of these two different types.

Mr. Skestos:

Dimon?

Mr. McFerson:

Martha, the two examples you have here are outstanding and the connection between student advisors is wonderful. I'm curious as to how many students each of you might advise and whether that's a typical average for advisors?

Dr. Miller:

I work with all the students. We have 1,300 students in the college and as they come to me with questions about financial aid or placement or other things I work with them. Dr. Pfister does a similar kind of thing. So we don't really have any



**PRESENTATION ON STUDENT ADVISING (contd)**

Dr. Miller: (contd)

specific students assigned to us. We're full-time advisors in the college, as is Paul Heimberger.

Within the departments there may be as few as one or two or as many as 30 students assigned to a faculty member, in terms of ratio. So it will be split depending upon the size of the department. For example, the Department of Animal Sciences has around 400 students and 25 faculty that will do the advising. For new, incoming freshman in Animal Sciences we have five specific faculty members that are identified as freshman advisors. We have about 75 to 80 new animal science students every year, so each of those freshman advisors will have about 15 to 16 students.

Dr. Gustafson:

I have somewhere between 30 and 50 students that I'm personally responsible for from entering students all the way up to graduating seniors. So different levels of advising are required at different times. In terms of time commitment, I think on the faculty member's part I know that I probably spend somewhere between 10 and 20 hours a week actually with students, just advising as an aside.

Mr. Skestos:

Any other questions? Thank you very much.

Dr. Garland:

Thank you.

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**FAREWELL TO GEORGE A. SKESTOS**

President William E. Kirwan:

Last night was a wonderful opportunity for many of us to pay tribute to you for all that you've meant to the members of this Board and to our University. We feel we cannot let this moment pass without, once again, expressing our great affection, great admiration, and great appreciation for all that you have meant to us. I'd like to ask Vice Chairman Brennan to make a few comments.

Mr. Brennan:

Thank you, President Kirwan. As you pointed out, I had the opportunity last evening to make a number of comments which, blessedly, George, I won't repeat now. But I think it's important for all of us to take a moment to recognize that although your intellectual enrichment occurred up north at a place called Michigan, your heart and soul are a Buckeye.

Mr. Skestos:

That's true.

May 4, 2001 meeting, Board of Trustees

**FAREWELL TO GEORGE A. SKESTOS (contd)**

Mr. Brennan:

Thank you, George.

Dr. Kirwan:

George, I just want to say on behalf of the University how much you have meant to this community. You have been a source of inspiration. Your engagement, your passion for the University, and your leadership have been extraordinary and will leave a lasting impression on all of us. We know your love for this University and your engagement and involvement will continue, but on this occasion of your last Board meeting we wanted to present you with something that symbolizes the leadership you have provided for the rest of us. It's your own personal, permanent gavel!

Mr. Skestos:

Thank you very much. I'll use that in my office every day.

I wish to thank all of my friends at OSU for their kindness and friendship that they've shown to me over these past nine years. In my life, there has been no greater honor than to be a Trustee here at OSU. I ask that God bless my fellow Trustees with the courage and the determination to move OSU to a new era of academic excellence. I feel that our new chairman, Dave, will lead this University on to new heights. Thank you all and God bless you.

Mr. Brennan:

George, the only thing I have to do now is thank you for your service, you're a dear friend, and God bless you.

Mr. Skestos:

Thank you.

Mr. Brennan:

Thanks, George.

If there is no other business to come before the Board, the meeting is adjourned until June 1, our next Board meeting. God bless.

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Thereupon the Board adjourned to meet Friday, June 1, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier  
Secretary

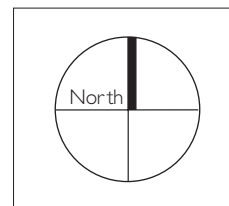
George S. Skestos  
Chairman

**Waivers of Competitive Bidding Requirements  
January-March 2001**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$450,000	\$0	\$0	\$450,000
Hospitals Merchandise for Resale	\$100,000	\$100,000	\$0	\$0
Hospitals - Equipment and Services	\$3,813,295	\$3,133,295	\$480,000	\$200,000
Instructional and Research Equipment and Services	\$1,734,629	\$572,340	\$201,900	\$960,389
Administrative Support Equipment and Services	\$761,831	\$404,341	\$80,000	\$277,490
Conference Facilities	\$192,000	\$132,000	\$0	\$60,000
<b>TOTAL</b>	<b>\$7,051,755</b>	<b>\$4,341,976</b>	<b>\$761,900</b>	<b>\$1,947,879</b>

(APPENDIX XXXVII)

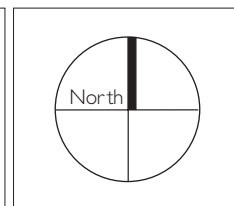
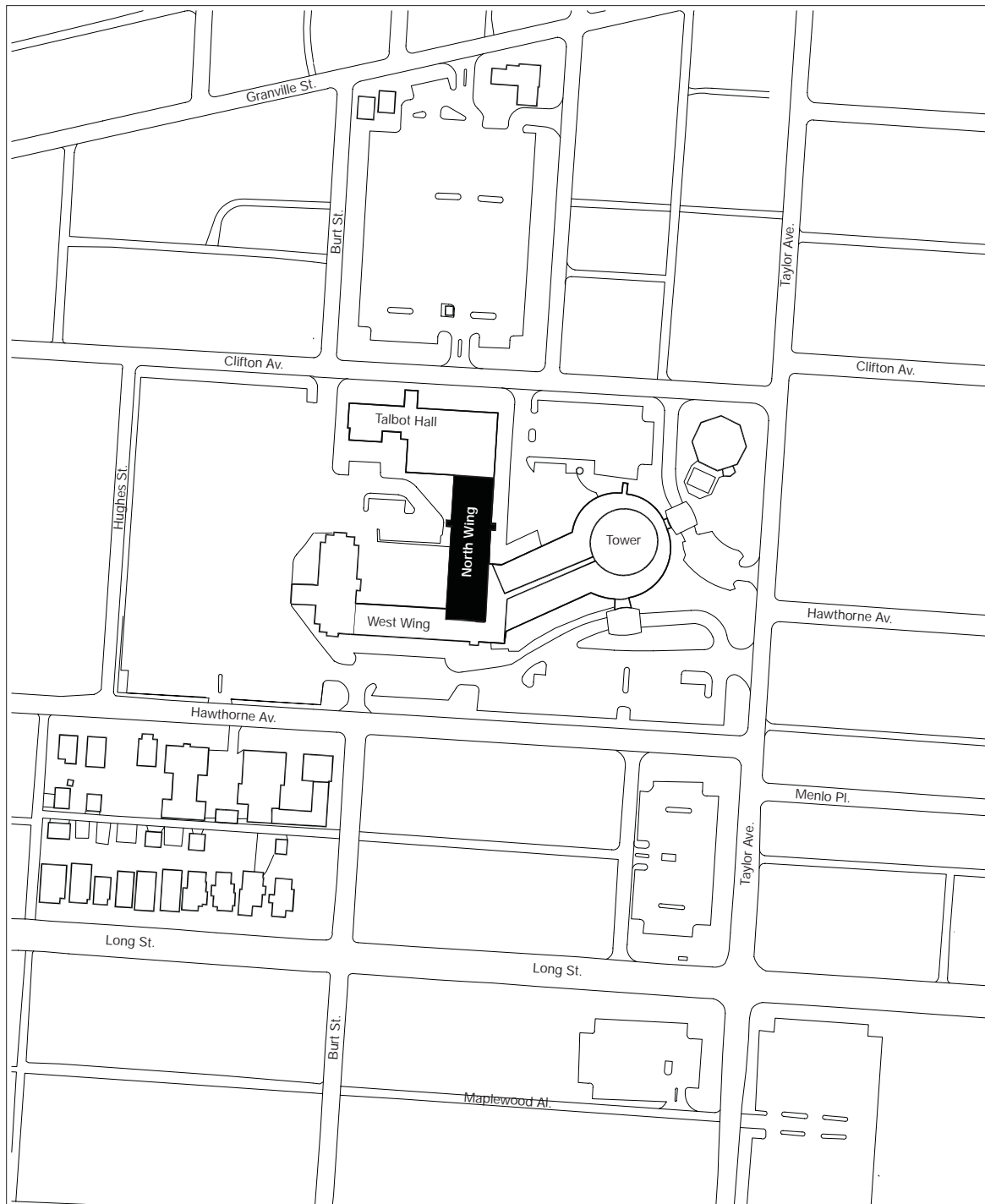
## Heart Hospital - Parking Garage



Office of Business and Finance  
Office of Facilities Planning and Development

January 12, 2001

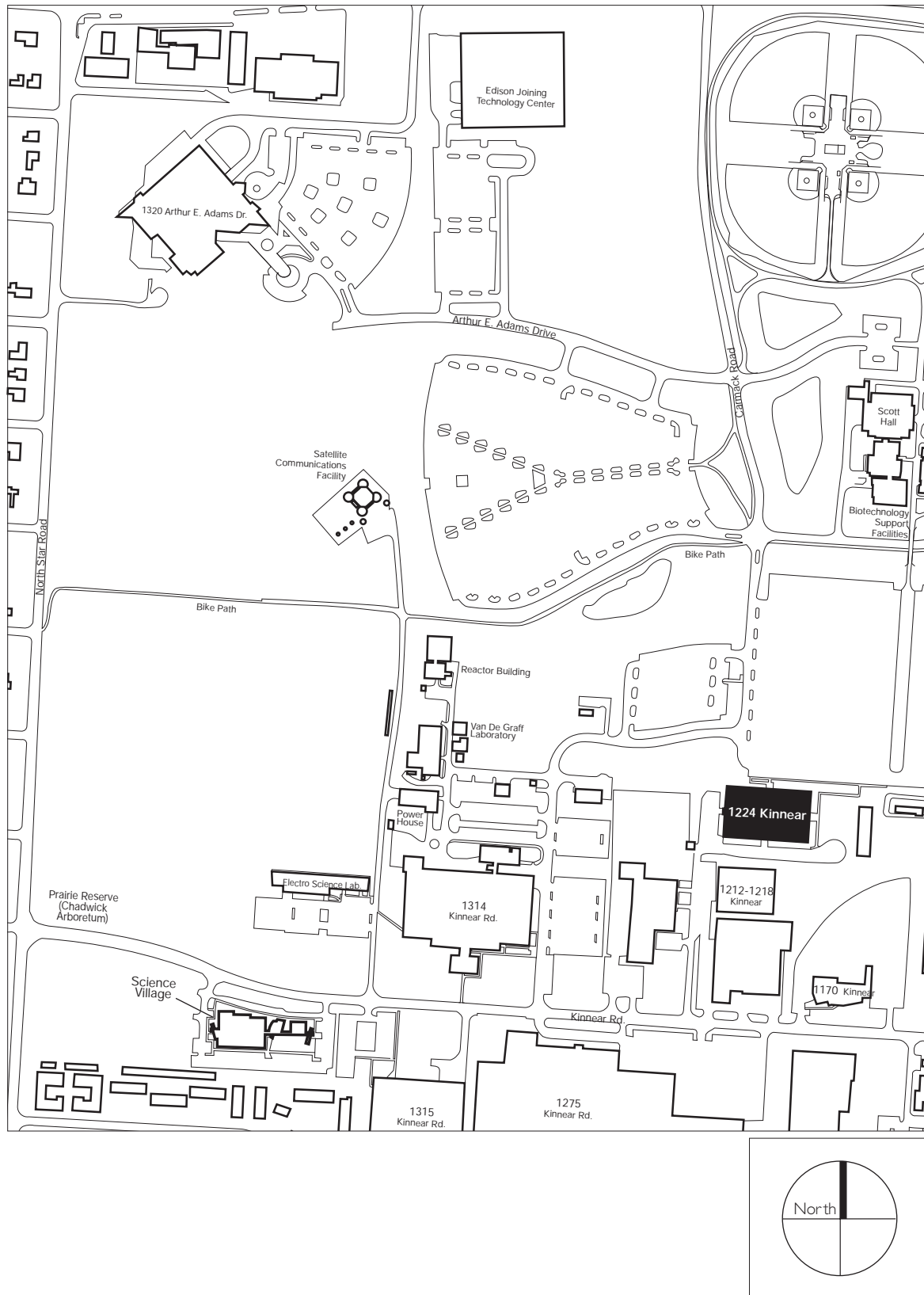
## University Hospitals East -Phase II



Office of Business and Finance  
Office of Facilities Planning and Development

April 20, 2001

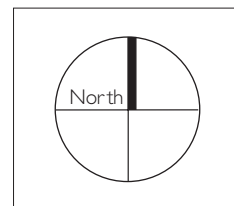
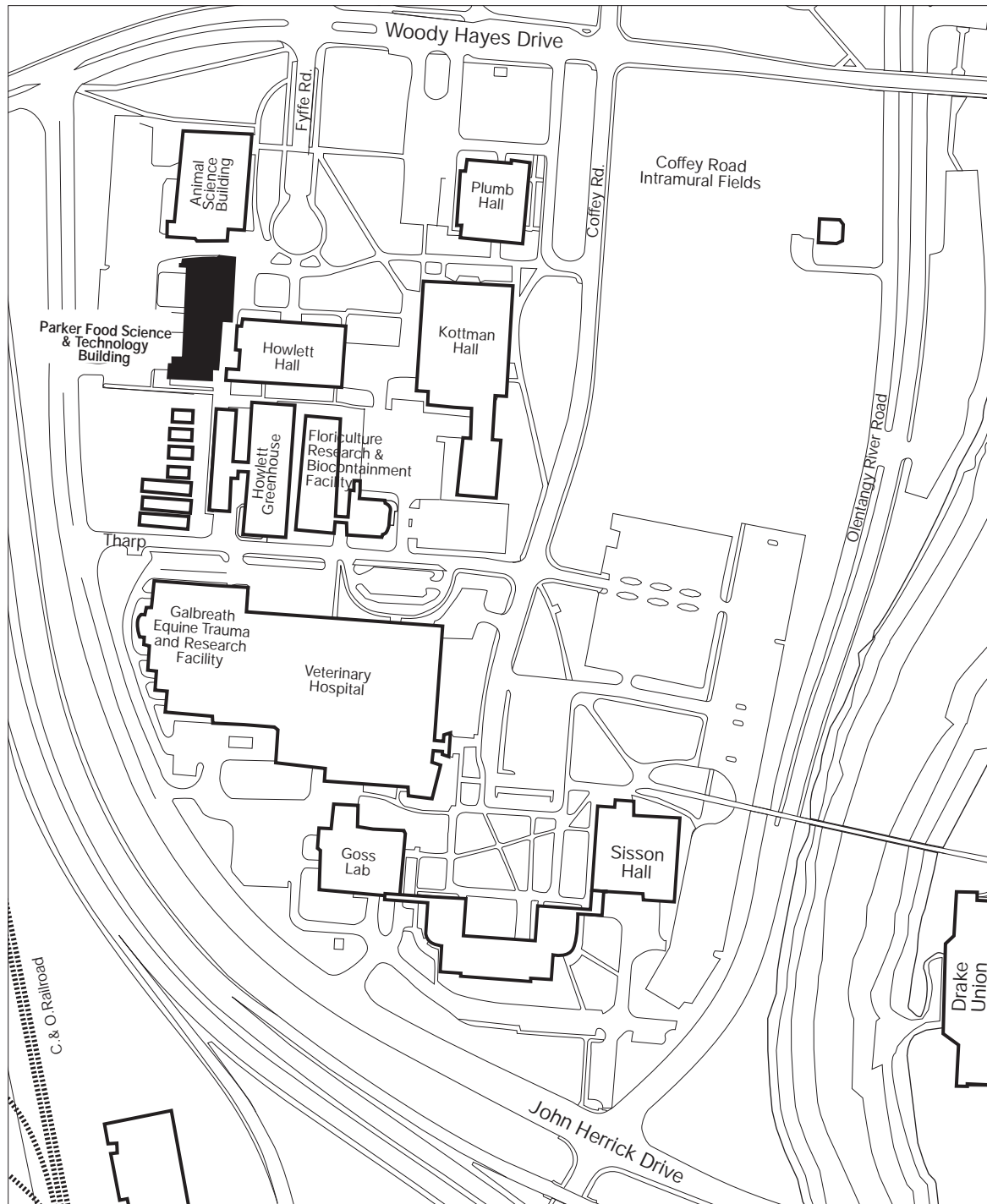
## 1224 Kinnear Road - BALE Program



Office of Business and Finance  
Office of Facilities Planning and Development

April 17, 2001

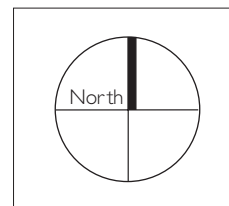
## Parker Food Science - Pilot Plant Facility



Office of Business and Finance  
Office of Facilities Planning and Development

April 17, 2001

# Fawcett Center East Parking Lot



Office of Business and Finance  
Office of Facilities Planning and Development

April 17, 2001



**The Ohio State University Board of Trustees  
Fiscal Affairs Committee  
May 4, 2001**

**Topic:**

Addressing Future University Energy Needs

**Context:**

This is a follow up to a July 2000 presentation to the Board of Trustees regarding a strategic review of campus energy needs in light of changing environmental regulations and energy deregulation.

The recommended course of action is to replace the four aging boilers to upgrade McCracken Power Plant's capacity to produce 625,000 pounds/hour of steam heat while providing for a renovation of the primary campus electrical power system.

Replacing old boilers with modern boilers also will allow the University to add electrical generating capacity in future years if Ohio's electrical supply dictates that on-campus generation would be more cost efficient.

Support material are provided in Attachments A and B (with Figures 1 & 2).

**Recommendations:**

- Upgrade McCracken Power Plant capacity to produce 625,000 pounds/hour of steam heat, and install the first new replacement boiler before the end of 2003. The total estimated project cost is \$ 73 million.
- Use Utilities business plan to evaluate proposals for managing the University's utility operations.
- Execute a contract for purchase of electricity through 2014.
- Obtain the necessary siting, construction, and operating permits for the new equipment.
- Provide the necessary addition to McCracken Power Plant.

**Issue:**

How to ensure that we provide cost effective, reliable and uninterrupted steam and electrical power utility systems needed to achieve the goals of the Academic Plan.

**Requested of the Fiscal Affairs Committee:**

Approval of the University's plan for meeting the University's future energy needs.

**Board of Trustees Meeting  
July 7, 2000**

**TOPIC:**

Addressing Future University Energy Needs

**CONTEXT:**

Due to impending environmental regulations on nitrogen oxide (NOx) emissions from boilers, The Ohio State University is faced with making a decision regarding the manner in which its energy requirements will be satisfied. Planning began in 1998 for a strategic review of energy needs in light of new EPA emissions standards and the impact of de-regulation of electric rates on cost and services. Last Spring the University approved the purchase of the Buckeye Substation, the re-negotiation of a five-year contract with AEP and the hiring of a consultant to review long-term options (Appendix A). Sebesta Blomberg and Associates, a nationally recognized consulting firm, was hired to conduct a review of strategic options. The result of this review was a recommendation to install a 59MW cogeneration plant.

**RECOMMENDATIONS:**

The conclusion of the Sebesta Blomberg and Associates study includes:

- Given the age and condition of the existing boilers (with the exception of the coal-fired boiler and new natural gas boiler) all should be replaced rather than modified to achieve compliance.
- The Ohio State University's electric rates are very attractive, averaging 48% below the national average. These rates will likely increase in 2005 as the current contract expires and the emerging competitive electric generation market begins to mature.
- Given the uncertainties regarding rates and service in a de-regulated environment, the University needs to protect its flexibility as long as possible.
- Cogeneration capacity offers an option of increased reliability from a proven technology, increased efficiency and leverage in future rate negotiations.
- The long lead-time for environmental permitting requires the University to initiate action as soon as possible.
- A more reliable cost estimate requires detailed design work to determine a specific equipment configuration.

A summary of the Sebesta Blomberg report is included as Appendix A.

The Electric Price Survey showing the rates paid by neighboring schools is included as Appendix B.

A list of four questions and answers regarding key issues is included as Appendix C.

**ISSUES:**

- What are the relative costs, benefits and risks of the various options?
- Why can't the private sector do this more quickly and more efficiently?
- What happens to OSU's current relationship with AEP?
- What will the Committee be asked to do in February?
- What additional information does the Committee want?

**ACTION REQUESTED OF BOARD OF TRUSTEES:**

Approval to proceed with the hiring of an engineering firm to conduct a detailed cost study that will include a fault current analysis of the electrical distribution system and the development of a schematic design for a 59MW cogeneration plant and an alternate boiler replacement design. This work is expected to cost about \$1.3 million. The detailed cost study along with the results from an internally-generated request for bids on future electric contracts will inform a decision in February 2001 on the most economical way to proceed in order to be in compliance with the EPA regulations in 2005.



# Memo

To: Bill Shkurti, Janet Ashe  
 From: Jim Stevens  
 Date: April 17, 2001  
 Re: Evaluating the Design Options for the McCracken Power Plant Project

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In July 2000, Physical Facilities made a presentation to the Board of Trustees that included plans to install cogeneration equipment in the McCracken Power Plant with justification rooted in projections for the future price of electricity for the central Ohio region. The initial presentation demonstrated that the additional capital required to install cogeneration equipment could be justified based on projected long-term energy cost savings. The presentation and further discussions generated several additional questions.

- How accurate are the electric rate projections?
- What about natural gas prices?
- Can the University enter into a contract agreement for electricity at an attractive rate for the next 5-10 years?
- Can a design that allows the University to generate half of its electricity and purchase half be explored?

During these past several months, much work was done in order to obtain answers to these questions:

1. Monsanto, an Associate Engineer, has completed schematic designs and life cycle cost evaluations for the following project options:

- Course of Action One – Boiler Replacement
- Course of Action Two – Cogeneration
- Course of Action Three - Generate Half Electricity/Purchase Half Electricity per the Board's request.

Cost estimates for each course of action are shown in Figure 1.

2. Monsanto also completed a fault current study. This study identified the extent of the electrical upgrades required.
3. Energy consultants prepared forecasts for gas and electricity prices through 2020 for central Ohio. In addition to these price projections, the University issued an RFP for a five-year electric contract set to begin after the existing contract with AEP expires in July 2004. Responses to that RFP indicate that it will be most economical for the University to purchase electricity from the most competitive supplier, and the University will be entering negotiations with the most competitive bidders for a contract for electricity through the year 2014 or longer, with the expectation of securing a reasonable long-term electric rate.

4. In addition to the price forecasts, other components of the life cycle analysis were gathered in preparation for the May BOT presentation. Physical Facilities believes based upon the project electrical rates that the most cost effective course of action is to construct sufficient boilers to provide 625,000 pounds of steam and to continue to look to commercial suppliers for the campus electrical power. The \$38 million additional investment required for course of action three is not warranted when considering the 35 year life cycle with an estimated return of only \$67 million (see Figure 2). Course of action two makes even less sense economically.
5. Replacing boilers with modern boilers does not forestall the University from adding electrical generating capacity in future years if Ohio's electrical supply dictates on campus generation.
6. Concurrently, the University's Utilities Division is developing a comprehensive business plan with the assistance of Sebesta Blomberg. Sebesta Blomberg completed similar plans for the Universities of Maryland, Missouri and Minnesota. The plan will document the cost of "doing business" within Physical Facilities and establishing a clear standard for judging operations and management proposals from third parties. AEP expressed interest to the University in taking over the operations and maintenance work that is managed by Utilities. Others are surely interested. Effective evaluation demands a clearly defined cost basis for judging the efficiency of internal operations against other business proposals. The Business plan will allow the University to spell out the necessary criteria for a RFP. The business plan is scheduled to be complete by July 2001.

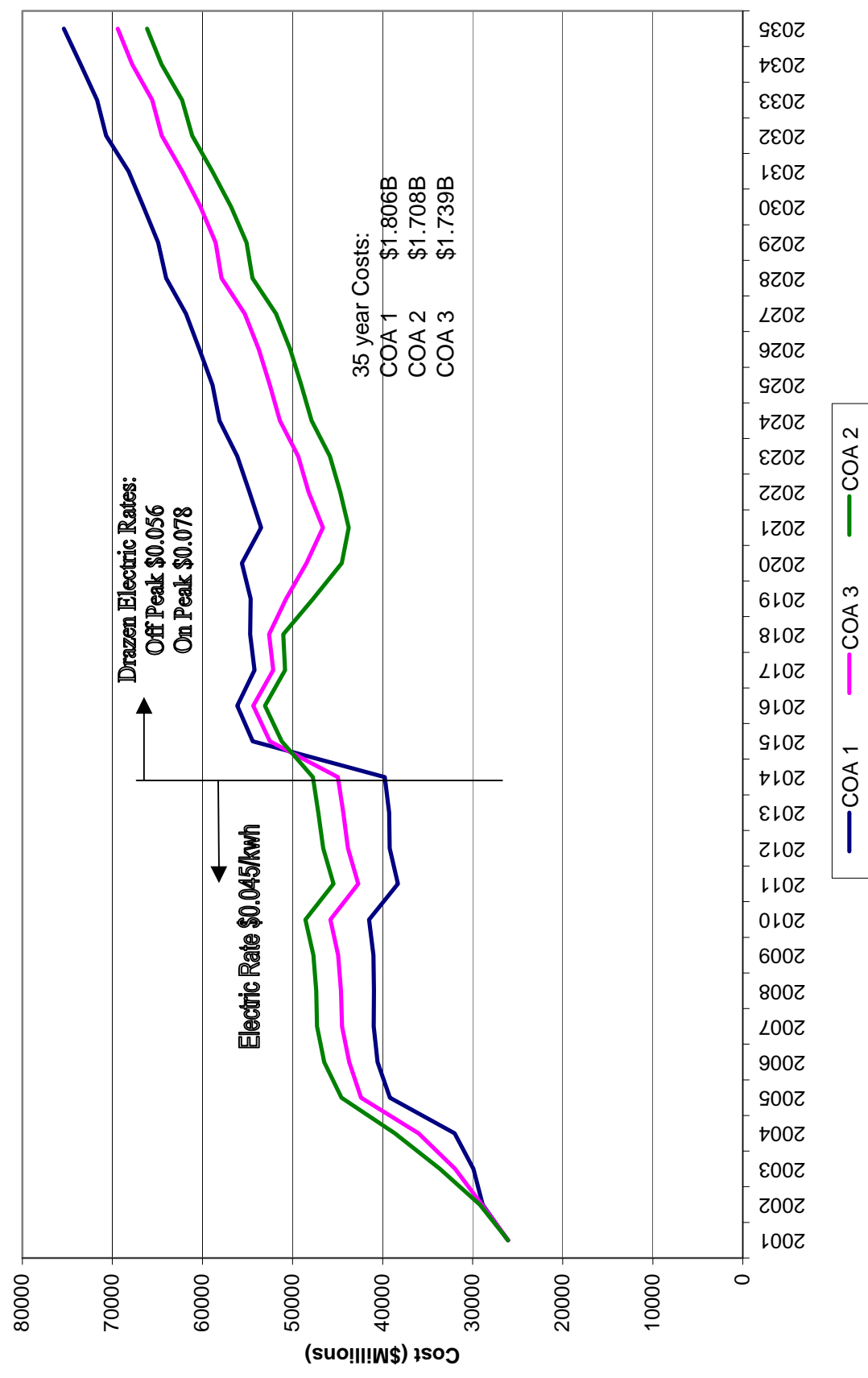
# McCRACKEN POWER PLANT EMISSIONS COMPLIANCE PROJECT

5062-PF990626

## CAPITAL COST ESTIMATE

CAPITAL COST, k (1000) Dollars	Course of Action 1	Course of Action 2	Course of Action 3
<b>Construction Cost</b>			
New Boilers	\$33,589	\$26,375	\$25,789
Heat Recovery Boiler	\$0	\$5,104	\$3,608
Water Treatment System	\$0	\$5,721	\$0
Major Electrical	\$15,124	\$12,465	\$14,082
Emergency Generators	\$1,601	\$2,574	\$2,588
Fuel Oil Storage	\$0	\$2,089	\$2,125
Cogeneration	\$0	\$46,493	\$31,429
SCR System	\$0	\$883	\$886
Master Planning (Civic Structure)	\$252	\$511	\$438
<b>Subtotal Construction Cost</b>	<b>\$50,566</b>	<b>\$102,215</b>	<b>\$80,945</b>
<b>Soft Costs</b>			
Construction Contingency (10%)	\$5,031	\$10,222	\$8,764
Engineering & Support	\$8,972	\$10,598	\$10,000
Construction Management	\$3,300	\$4,400	\$4,000
Specialist / Consultants	\$1,000	\$1,000	\$1,000
FF&E	n/a	n/a	n/a
OSU FPD Fees (Negotiated with FPD)	\$105	\$105	\$105
Permitting Costs (ENSR fees)	\$200	\$200	\$200
Inflation	\$3,910	\$6,420	\$6,056
<b>Subtotal Soft Costs</b>	<b>\$22,518</b>	<b>\$32,945</b>	<b>\$30,125</b>
<b>TOTAL Capital Cost</b>	<b>\$73,084</b>	<b>\$135,160</b>	<b>\$111,070</b>

35yr Cost Analysis Applying Results of Electric RFQ through 2014



The Ohio State University  
Board of Trustees  
Fiscal Affairs Committee  
May 4, 2001

**TOPIC:**

Third Quarter Budget Report

**CONTEXT:**

This is the third in a series of scheduled reports for FY 2001.

**HIGHLIGHTS:**

- STATE BUDGET (Attachment A)
  - ✓ Higher education received a budget cut by Executive order late in FY 2001 resulting is a \$3.2 million (1.0%) reduction in Columbus Campus State Share of Instruction and a \$4.5 million reduction in all appropriations for all Campuses.
  - ✓ The legislative outcome is more uncertain than in previous years.
- ENROLLMENTS (Attachment B) - we now have enrollment totals for all four quarters.
  - ✓ Total headcount is within margin of error (-0.8%)
  - ✓ Undergraduate enrollment down 1.3%
  - ✓ Graduate enrollment up 0.6% and Professional enrollment down .06%
  - ✓ Nonresident enrollment is up 3.9%

Minimal financial impact for FY 2001; however, the decline in returning undergraduate students (those who drop out temporarily and then return), could effect resources for FY 2002.

- **MEDICAL CENTER (Attachment C)**
  - ✓ Year-to-date is minus \$9.0 million compared to:
    - \$32.7 million at this time last year, and
    - +\$4.4 million budget target
  - ✓ The OSU Health System continues to show significant improvements over last year. However, it is expected that FY 2001 budget targets may not be met fully.
- **OTHER AREAS OF INTEREST**
  - ✓ Schottenstein Center
  - ✓ Athletics
  - ✓ Sponsored Research
  - ✓ Health Care and Utility costs
- **BOTTOM LINE**
  - ✓ With the adjustments proposed today, the FY 2001 budget will be in balance.
  - ✓ FY 2002 promises to be a vary challenging year financially.

## **RECOMMENDATIONS**

- Revise the Current Funds Budget as of the Third Quarter to reflect the State Budget Cut and other income and expense adjustments. (Attachment D)
- Use \$5.0 million (50%) of the University's Rainy Day Fund to offset the \$3.2 million reduction in the Columbus Campus Share of Instruction along with a portion (\$1.8 million) of the utility cost increase.
- Replenish the Rainy Day fund in FY 2002.
- Continue to monitor key areas and take corrective action where appropriate.

## **REQUESTED OF FISCAL AFFAIRS COMMITTEE**

Approval of the third quarter budget resolution.

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## Attachment A

### Fiscal Year 2001 State Budget Cuts at 1%

<b>Columbus Campus</b>	<b>Original Appropriation</b>	<b>Cut \$</b>	<b>Total After Cut</b>
Appropriations Held Harmless:			
Success Challenge	\$ 10,701,838	<i>Exempt</i>	\$ 10,701,838
Research Challenge	9,137,198	<i>Exempt</i>	9,137,198
Ohio Resource Center-Math, Science	1,000,000	<i>Exempt</i>	1,000,000
Total Held Harmless	20,839,036	<i>Exempt</i>	20,839,036
Instructional Subsidy	<b>320,930,144</b>	<b>3,209,301</b>	<b>317,720,843</b>

### Other Line-Item Appropriations:

Capital Component *	838,902	22,231	816,671
Priorities in Graduate Education	863,359	8,634	854,725
Sea Grants	299,940	2,999	296,941
Early Math Placement Test	200,000	2,000	198,000
Math/Science-OSI-Discovery	330,615	3,306	327,309
College Readiness Initiatives	98,750	988	97,763
MUCIA Program	250,000	2,500	247,500
OSU Glenn Institute	375,000	3,750	371,250
Ohio Learning Network	5,000,000	50,000	4,950,000
Ohio Supercomputer	4,932,218	49,322	4,882,896
OARNET	3,512,182	35,122	3,477,060
OSU Clinical Teaching	15,996,281	159,963	15,836,318
OSU Clinic Support	2,061,138	20,611	2,040,527
Other Medical	884,640	8,846	875,794
Center for Labor Research	95,000	950	94,050
Cooperative Extension	27,708,025	277,080	27,430,945
Library Depositories	450,816	4,508	446,308
Urban University Program	246,471	2,465	244,006
Total Columbus Campus	<b>405,912,517</b>	<b>3,864,577</b>	<b>402,047,940</b>

### Regional Campuses, ATI & OARDC

Lima Subsidy	3,967,794	39,678	3,928,116
Lima Challenges	763,754	<i>Exempt</i>	763,754
Mansfield Subsidy	4,222,400	42,224	4,180,176
Mansfield Challenges	816,691	<i>Exempt</i>	816,691
Mansfield Capital Component *	132,373	3,508	128,865
Marion Subsidy	3,476,553	34,766	3,441,787
Marion Challenges	611,356	<i>Exempt</i>	611,356
Marion Capital Component *	65,112	1,725	63,387
Newark Subsidy	4,972,122	49,721	4,922,401
Newark Challenges	894,654	<i>Exempt</i>	894,654
Newark Capital Component *	230,895	6,119	224,776
ATI Subsidy	4,865,965	48,660	4,817,305
ATI Challenges	501,272	<i>Exempt</i>	501,272
ATI Early English	11,800	118	11,682
ATI Capital Component *	35,735	947	34,788
OARDC	38,730,884	387,309	38,343,575
Total Regionals, ATI & OARDC	<b>64,299,360</b>	<b>614,774</b>	<b>63,684,586</b>
<b>Total University</b>	<b>470,211,877</b>	<b>4,479,351</b>	<b>465,732,526</b>

\*Capital Component cut at 2.65%

## Attachment B

### Total Cumulative Headcount Enrollments Summer 2000 thru Spring 2001

Category	Projected <sup>1</sup>	Actual <sup>2</sup>	Difference	%
Undergraduate	115,790	114,287	-1,503	-1.3%
Graduate*	33,947	34,167	220	0.6%
Professional	8,826	8,772	- 54	-0.6%
<b>Total</b>	<b>158,563</b>	<b>157,226</b>	<b>-1,337</b>	<b>-0.8%</b>

*NOTE:* Includes total cumulative enrollments for Summer 2000 thru Spring 2001 Quarters

*SOURCE:* Resource Planning and Institutional Analysis

- Includes MBA, EMBA & Master of Accounting

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<sup>1</sup> Projected in May 2000 for FY 2001 budget

<sup>2</sup> Reflects 14<sup>th</sup> day enrollment counts

OSU Health System  
FY 2001 3<sup>rd</sup> Quarter Financial Summary  
YTD Ending March 31, 2001  
April 20, 2001

**Performance Summary:**

- ❑ As of March 31, the admissions to the OSU Health System are exceeding budget by 3.7% and exceeding last year admissions by 2,500.
- ❑ University Hospitals and the James Cancer Hospital continue with gains from operations and investments while University Hospitals East has shown significant improvement over the last year and OSU & Harding Behavioral Health has shown significant improvement since January 2001.
- ❑ Revenue per adjusted admission is .3% below budget and expenses per adjusted admission are .4% above budget.
- ❑ The Health Care System has accomplished positive Revenues over Expenses for 3 months of the fiscal year and \$23 million better than last year.
- ❑ Patient Receivables have decreased by 10% after implementing price increases of over 7.1%. Cash receipts have exceeded budget expectations by 7.8%.

**Financial Summary:**

**(All figures in thousands)**

	Actual March 31, 2001 YTD		Budget March 31, 2001 YTD		Actual March 31, 2000 YTD
Net Patient Revenue	\$417,682		\$423,874		\$387,314
Other Revenue	<u>30,190</u>		<u>29,118</u>		<u>29,157</u>
Total Income:	447,872		452,992		416,471
Total Expenses:	<u>456,845</u>		<u>448,571</u>		449,154
Revenue over Expenses	(8,973)		4,421		(32,683)

OSU Health System 4/20/01

Attachment D  
SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES  
FY 2001 THIRD QUARTER BUDGET REPORT  
(In Thousands)

	Original Budget			3rd. Quarter Budget Revision			Difference		
	General Funds	Other Funds	Total	General Funds	Other Funds	Total	General Funds	Other Funds	Total
<b>Resources</b>									
Government Support	398,129	303,416	701,545	398,912	302,361	701,273	783	(1,055)	(272) (1)
Student Fees	355,762	8,696	364,458	356,434	8,696	365,130	672	0	672 (2)
Auxiliaries		168,433	168,433		168,433	168,433	0	0	0
Hospitals		641,548	641,548		641,548	641,548	0	0	0
Other	35,021	237,546	272,567	35,021	237,546	272,567	0	0	0
Total Resources	788,912	1,359,639	2,148,551	790,367	1,358,584	2,148,951	1,455	(1,055)	400
<b>Expenditures</b>									
Instructional & General	703,609	160,505	864,114	705,754	159,450	865,204	2,145	(1,055)	1,090 (3)
Auxiliaries	1,412	174,341	175,753	1,412	174,341	175,753	0	0	0
Hospitals		645,619	645,619		645,619	645,619	0	0	0
Other	80,353	374,386	454,739	80,353	374,386	454,739	0	0	0
Total Expenditures	785,374	1,354,851	2,140,225	787,519	1,353,796	2,141,315	2,145	(1,055)	1,090
<b>Net</b>	3,538	4,788	8,326	2,848	4,788	7,636	(690)	0	(690)
<b>One Time Adjustments</b>									
Utility Cost Increase									
Use of Rainy Day Fund									
Utility Reserve									
Net After Adjustments									
				(6,500)		(6,500)	(6,500)		(6,500) (4)
							5,000		5,000 (5)
				(3,652)	4,788	1,136	2,190		2,190 (5)
							0	0	0

- (1) General Funds:
- A reduction of \$3.2M Columbus Campus State Share of Instruction Executive Budget Cut,
  - An increase of \$0.6M Columbus Campus State Share of Instruction for enrollment changes,
  - An increase of \$0.6M Columbus Campus State Share of Instruction for Success Challenge,
  - A reduction of \$0.215M Regional Campus State Share of Instruction Executive Budget Cut,
  - An increase of \$3.0M Indirect Cost Recoveries
- Other Funds:
- (2) General Funds:
- A reduction of \$1.1M in other restricted State line item appropriations Executive Budget Cut,
  - An increase of \$0.7M in Columbus Campus Fee income due primarily to an increase in nonresident enrollments,
  - A reduction of \$0.215M in Regional Campus expenditures due to a reduction in State Share of Instruction,
  - An increase of \$0.6M Columbus Campus Subsidy for Success Challenge,
  - An increase of \$1.7M in Graduate Fee Authorizations due to an increase in graduate nonresident enrollments,
  - A reduction of \$1.1M to reflect the reduction in other restricted State line item appropriations,
  - An increase of \$6.5M to reflect an increase in natural gas costs.
- (4) One-Time Expenses
- (5) One-Time Sources:
- The budget shortfall will be made up from one-time sources such as reserves and uncommitted cash balances. The Rainy Day fund is to be replaced in FY 2002.
- Office of Revenue Planning  
April 24, 2001